

# Lecture #7

## Quiz #1 Results (undergrad. scores only)

$N = 73$ ; mean = 21.6;  $\sigma = 2.1$ ; high = 25; low = 14

### OUTLINE

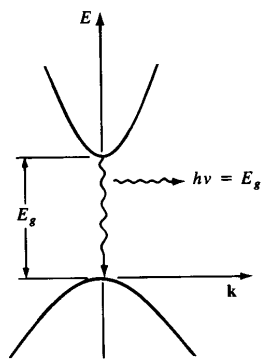
- Continuity equations
- Minority carrier diffusion equations
- Quasi-Fermi levels

Reading: Chapter 3.4, 3.5

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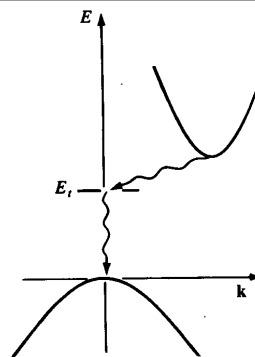
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## Clarification: Direct vs. Indirect Band Gap



(a) Direct

Small change in momentum required for recombination  
→ momentum is conserved by photon emission



(b) Indirect

Large change in momentum required for recombination  
→ momentum is conserved by phonon + photon emission

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## Example: Relaxation to Equilibrium State

Consider a semiconductor with no current flow in which thermal equilibrium is disturbed by the sudden creation of excess holes and electrons. The system will relax back to the equilibrium state via R-G mechanism:

$$\frac{dn}{dt} = -\frac{\Delta n}{\tau_n} \quad \text{for electrons in p-type material}$$

$$\frac{dp}{dt} = -\frac{\Delta p}{\tau_p} \quad \text{for holes in n-type material}$$

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## Net Recombination Rate (General Case)

- For arbitrary injection levels and both carrier types in a non-degenerate semiconductor, the net rate of recombination is:

$$\frac{d\Delta n}{dt} = \frac{d\Delta p}{dt} = -\frac{pn - n_i^2}{\tau_p(n + n_1) + \tau_n(p + p_1)}$$

$$\text{where } n_1 \equiv n_i e^{(E_T - E_i)/kT} \quad \text{and} \quad p_1 \equiv n_i e^{(E_i - E_T)/kT}$$

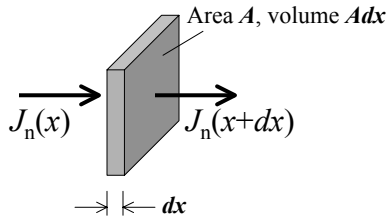
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## Derivation of Continuity Equation

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- Accounting of carrier-flux into/out-of an infinitesimal volume:



$$Adx \left( \frac{\partial n}{\partial t} \right) = -\frac{1}{q} [J_n(x)A - J_n(x+dx)A] - \frac{\Delta n}{\tau_n} Adx$$

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$$J_n(x+dx) = J_n(x) + \frac{\partial J_n(x)}{\partial x} dx$$

$$\Rightarrow \frac{\partial n}{\partial t} = \frac{1}{q} \frac{\partial J_n(x)}{\partial x} - \frac{\Delta n}{\tau_n}$$

**Continuity Equations:**

$$\frac{\partial n}{\partial t} = \frac{1}{q} \frac{\partial J_n(x)}{\partial x} - \frac{\Delta n}{\tau_n} + G_L$$

$$\frac{\partial p}{\partial t} = -\frac{1}{q} \frac{\partial J_p(x)}{\partial x} - \frac{\Delta p}{\tau_p} + G_L$$

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## Derivation of Minority-Carrier Diffusion Equations

- Simplifying assumptions:
  - 1-D
  - negligible electric field
  - $n_0, p_0$  are independent of  $x$
  - low-level injection conditions

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## Minority Carrier Diffusion Length

- Consider the special case:
  - Constant minority-carrier (hole) injection at  $x=0$
  - Steady state, no light

$$\frac{d^2 \Delta p}{dx^2} = \frac{\Delta p}{D_p \tau_p} = \frac{\Delta p}{L_p^2}$$

$L_p$  is the **hole diffusion length**

$$L_p \equiv \sqrt{D_p \tau_p}$$

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- Physically,  $L_p$  and  $L_n$  represent the average distance that minority carriers can diffuse into a sea of majority carriers before being annihilated.
  - Example:  $N_D = 10^{16} \text{ cm}^{-3}$ ;  $\tau_p = 10^{-6} \text{ s}$

## Quasi-Fermi Levels

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- Whenever  $\Delta n = \Delta p \neq 0$ ,  $np \neq n_i^2$ . However, we would like to preserve and use the relations:

$$n = N_c e^{-(E_c - E_F)/kT} \qquad p = N_v e^{-(E_F - E_v)/kT}$$

- These equations imply  $np = n_i^2$ , however. The solution is to introduce two **quasi-Fermi levels**  $F_N$  and  $F_p$  such that

$$n = N_c e^{-(E_c - F_N)/kT} \qquad p = N_v e^{-(F_p - E_v)/kT}$$

## Example: Quasi-Fermi Levels

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Consider a Si sample with  $N_D = 10^{17} \text{ cm}^{-3}$  and  $\Delta n = \Delta p = 10^{14} \text{ cm}^{-3}$ .

(a) Find  $n$ :

$$n = n_0 + \Delta n = N_D + \Delta n \approx 10^{17} \text{ cm}^{-3}$$

(b) Find  $p$ :

$$p = p_0 + \Delta p = (n_i^2 / N_D) + \Delta p \approx 10^{14} \text{ cm}^{-3}$$

(c) Find the np product:

$$np \approx 10^{17} \times 10^{14} = 10^{31} \text{ cm}^{-6} \gg n_i^2$$

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(d) Find  $F_N$ :

$$n = 10^{17} \text{ cm}^{-3} = N_c e^{-(E_c - F_N)/kT}$$

$$\begin{aligned} E_c - F_N &= kT \times \ln(N_c / 10^{17}) \\ &= 0.026 \text{ eV} \times \ln(2.8 \times 10^{19} / 10^{17}) \\ &= 0.15 \text{ eV} \end{aligned}$$

(e) Find  $F_P$ :

$$p = 10^{14} \text{ cm}^{-3} = N_v e^{-(F_P - E_v)/kT}$$

$$\begin{aligned} F_P - E_v &= kT \times \ln(N_v / 10^{17}) \\ &= 0.026 \text{ eV} \times \ln(10^{19} / 10^{14}) \\ &= 0.30 \text{ eV} \end{aligned}$$