4.4 WIRELESS LANS

Although Ethernet is widely used, it is about to get some competition. Wireless LANs are increasingly popular, and more and more office buildings, airports, and other public places are being outfitted with them. Wireless LANs can operate in one of two configurations, as we saw in Fig. 1-35: with a base station and without a base station. Consequently, the 802.11 LAN standard takes this into account and makes provision for both arrangements, as we will see shortly.

We gave some background information on 802.11 in Sec. 1.5.4. Now is the time to take a closer look at the technology. In the following sections we will look at the protocol stack, physical layer radio transmission techniques, MAC sublayer protocol, frame structure, and services. For more information about 802.11, see (Crow et al., 1997; Geier, 2002; Heegard et al., 2001; Kapp, 2002; O’Harra and Petrick, 1999; and Severance, 1999). To hear the truth from the mouth of the horse, consult the published 802.11 standard itself.

4.4.1 The 802.11 Protocol Stack

The protocols used by all the 802 variants, including Ethernet, have a certain commonality of structure. A partial view of the 802.11 protocol stack is given in Fig. 4-25. The physical layer corresponds to the OSI physical layer fairly well, but the data link layer in all the 802 protocols is split into two or more sublayers. In 802.11, the MAC (Medium Access Control) sublayer determines how the channel is allocated, that is, who gets to transmit next. Above it is the LLC (Logical Link Control) sublayer, whose job it is to hide the differences between the different 802 variants and make them indistinguishable as far as the network layer is concerned. We studied the LLC when examining Ethernet earlier in this chapter and will not repeat that material here.

The 1997 802.11 standard specifies three transmission techniques allowed in the physical layer. The infrared method uses much the same technology as television remote controls do. The other two use short-range radio, using techniques called FHSS and DSSS. Both of these use a part of the spectrum that does not require licensing (the 2.4-GHz ISM band). Radio-controlled garage door openers also use this piece of the spectrum, so your notebook computer may find itself in competition with your garage door. Cordless telephones and microwave ovens also use this band. All of these techniques operate at 1 or 2 Mbps and at low enough power that they do not conflict too much. In 1999, two new techniques were introduced to achieve higher bandwidth. These are called OFDM and HR-DSSS. They operate at up to 54 Mbps and 11 Mbps, respectively. In 2001, a second OFDM modulation was introduced, but in a different frequency band from the first one. Now we will examine each of them briefly. Technically, these belong to the physical layer and should have been examined in Chapter 2, but since they are so closely tied to LANs in general and the 802.11 MAC sublayer, we
4.4.2 The 802.11 Physical Layer

Each of the five permitted transmission techniques makes it possible to send a MAC frame from one station to another. They differ, however, in the technology used and speeds achievable. A detailed discussion of these technologies is far beyond the scope of this book, but a few words on each one, along with some of the key words, may provide interested readers with terms to search for on the Internet or elsewhere for more information.

The infrared option uses diffused (i.e., not line of sight) transmission at 0.85 or 0.95 microns. Two speeds are permitted: 1 Mbps and 2 Mbps. At 1 Mbps, an encoding scheme is used in which a group of 4 bits is encoded as a 16-bit codeword containing fifteen 0s and a single 1, using what is called Gray code. This code has the property that a small error in time synchronization leads to only a single bit error in the output. At 2 Mbps, the encoding takes 2 bits and produces a 4-bit codeword, also with only a single 1, that is one of 0001, 0010, 0100, or 1000. Infrared signals cannot penetrate walls, so cells in different rooms are well isolated from each other. Nevertheless, due to the low bandwidth (and the fact that sunlight swamps infrared signals), this is not a popular option.

FHSS (Frequency Hopping Spread Spectrum) uses 79 channels, each 1-MHz wide, starting at the low end of the 2.4-GHz ISM band. A pseudorandom
number generator is used to produce the sequence of frequencies hopped to. As long as all stations use the same seed to the pseudorandom number generator and stay synchronized in time, they will hop to the same frequencies simultaneously. The amount of time spent at each frequency, the dwell time, is an adjustable parameter, but must be less than 400 msec. FHSS’ randomization provides a fair way to allocate spectrum in the unregulated ISM band. It also provides a modicum of security since an intruder who does not know the hopping sequence or dwell time cannot eavesdrop on transmissions. Over longer distances, multipath fading can be an issue, and FHSS offers good resistance to it. It is also relatively insensitive to radio interference, which makes it popular for building-to-building links. Its main disadvantage is its low bandwidth.

The third modulation method, DSSS (Direct Sequence Spread Spectrum), is also restricted to 1 or 2 Mbps. The scheme used has some similarities to the CDMA system we examined in Sec. 2.6.2, but differs in other ways. Each bit is transmitted as 11 chips, using what is called a Barker sequence. It uses phase shift modulation at 1 Mbaud, transmitting 1 bit per baud when operating at 1 Mbps and 2 bits per baud when operating at 2 Mbps. For years, the FCC required all wireless communications equipment operating in the ISM bands in the U.S. to use spread spectrum, but in May 2002, that rule was dropped as new technologies emerged.

The first of the high-speed wireless LANs, 802.11a, uses OFDM (Orthogonal Frequency Division Multiplexing) to deliver up to 54 Mbps in the wider 5-GHz ISM band. As the term FDM suggests, different frequencies are used—52 of them, 48 for data and 4 for synchronization—not unlike ADSL. Since transmissions are present on multiple frequencies at the same time, this technique is considered a form of spread spectrum, but different from both CDMA and FHSS. Splitting the signal into many narrow bands has some key advantages over using a single wide band, including better immunity to narrowband interference and the possibility of using noncontiguous bands. A complex encoding system is used, based on phase-shift modulation for speeds up to 18 Mbps and on QAM above that. At 54 Mbps, 216 data bits are encoded into 288-bit symbols. Part of the motivation for OFDM is compatibility with the European HiperLAN/2 system (Doufexi et al., 2002). The technique has a good spectrum efficiency in terms of bits/Hz and good immunity to multipath fading.

Next, we come to HR-DSSS (High Rate Direct Sequence Spread Spectrum), another spread spectrum technique, which uses 11 million chips/sec to achieve 11 Mbps in the 2.4-GHz band. It is called 802.11b but is not a follow-up to 802.11a. In fact, its standard was approved first and it got to market first. Data rates supported by 802.11b are 1, 2, 5.5, and 11 Mbps. The two slow rates run at 1 Mbaud, with 1 and 2 bits per baud, respectively, using phase shift modulation (for compatibility with DSSS). The two faster rates run at 1.375 Mbaud, with 4 and 8 bits per baud, respectively, using Walsh/Hadamard codes. The data rate may be dynamically adapted during operation to achieve the optimum speed
possible under current conditions of load and noise. In practice, the operating speed of 802.11b is nearly always 11 Mbps. Although 802.11b is slower than 802.11a, its range is about 7 times greater, which is more important in many situations.

An enhanced version of 802.11b, 802.11g, was approved by IEEE in November 2001 after much politicking about whose patented technology it would use. It uses the OFDM modulation method of 802.11a but operates in the narrow 2.4-GHz ISM band along with 802.11b. In theory it can operate at up to 54 MBps. It is not yet clear whether this speed will be realized in practice. What it does mean is that the 802.11 committee has produced three different high-speed wireless LANs: 802.11a, 802.11b, and 802.11g (not to mention three low-speed wireless LANs). One can legitimately ask if this is a good thing for a standards committee to do. Maybe three was their lucky number.

### 4.4.3 The 802.11 MAC Sublayer Protocol

Let us now return from the land of electrical engineering to the land of computer science. The 802.11 MAC sublayer protocol is quite different from that of Ethernet due to the inherent complexity of the wireless environment compared to that of a wired system. With Ethernet, a station just waits until the ether goes silent and starts transmitting. If it does not receive a noise burst back within the first 64 bytes, the frame has almost assuredly been delivered correctly. With wireless, this situation does not hold.

To start with, there is the hidden station problem mentioned earlier and illustrated again in Fig. 4-26(a). Since not all stations are within radio range of each other, transmissions going on in one part of a cell may not be received elsewhere in the same cell. In this example, station C is transmitting to station B. If A senses the channel, it will not hear anything and falsely conclude that it may now start transmitting to B.

In addition, there is the inverse problem, the exposed station problem, illustrated in Fig. 4-26(b). Here B wants to send to C so it listens to the channel. When it hears a transmission, it falsely concludes that it may not send to C, even though A may be transmitting to D (not shown). In addition, most radios are half duplex, meaning that they cannot transmit and listen for noise bursts at the same time on a single frequency. As a result of these problems, 802.11 does not use CSMA/CD, as Ethernet does.

To deal with this problem, 802.11 supports two modes of operation. The first, called DCF (Distributed Coordination Function), does not use any kind of central control (in that respect, similar to Ethernet). The other, called PCF (Point Coordination Function), uses the base station to control all activity in its cell. All implementations must support DCF but PCF is optional. We will now discuss these two modes in turn.

When DCF is employed, 802.11 uses a protocol called CSMA/CA (CSMA
with Collision Avoidance). In this protocol, both physical channel sensing and virtual channel sensing are used. Two methods of operation are supported by CSMA/CA. In the first method, when a station wants to transmit, it senses the channel. If it is idle, it just starts transmitting. It does not sense the channel while transmitting but emits its entire frame, which may well be destroyed at the receiver due to interference there. If the channel is busy, the sender defers until it goes idle and then starts transmitting. If a collision occurs, the colliding stations wait a random time, using the Ethernet binary exponential backoff algorithm, and then try again later.

The other mode of CSMA/CA operation is based on MACAW and uses virtual channel sensing, as illustrated in Fig. 4-27. In this example, A wants to send to B. C is a station within range of A (and possibly within range of B, but that does not matter). D is a station within range of B but not within range of A.

The protocol starts when A decides it wants to send data to B. It begins by
sending an RTS frame to \( B \) to request permission to send it a frame. When \( B \) receives this request, it may decide to grant permission, in which case it sends a CTS frame back. Upon receipt of the CTS, \( A \) now sends its frame and starts an ACK timer. Upon correct receipt of the data frame, \( B \) responds with an ACK frame, terminating the exchange. If \( A \)’s ACK timer expires before the ACK gets back to it, the whole protocol is run again.

Now let us consider this exchange from the viewpoints of \( C \) and \( D \). \( C \) is within range of \( A \), so it may receive the RTS frame. If it does, it realizes that someone is going to send data soon, so for the good of all it desists from transmitting anything until the exchange is completed. From the information provided in the RTS request, it can estimate how long the sequence will take, including the final ACK, so it asserts a kind of virtual channel busy for itself, indicated by NAV (Network Allocation Vector) in Fig. 4-27. \( D \) does not hear the RTS, but it does hear the CTS, so it also asserts the NAV signal for itself. Note that the NAV signals are not transmitted; they are just internal reminders to keep quiet for a certain period of time.

In contrast to wired networks, wireless networks are noisy and unreliable, in no small part due to microwave ovens, which also use the unlicensed ISM bands. As a consequence, the probability of a frame making it through successfully decreases with frame length. If the probability of any bit being in error is \( p \), then the probability of an \( n \)-bit frame being received entirely correctly is \((1 - p)^n\). For example, for \( p = 10^{-4} \), the probability of receiving a full Ethernet frame (12,144 bits) correctly is less than 30%. If \( p = 10^{-5} \), about one frame in 9 will be damaged. Even if \( p = 10^{-6} \), over 1% of the frames will be damaged, which amounts to almost a dozen per second, and more if frames shorter than the maximum are used. In summary, if a frame is too long, it has very little chance of getting through undamaged and will probably have to be retransmitted.

To deal with the problem of noisy channels, 802.11 allows frames to be fragmented into smaller pieces, each with its own checksum. The fragments are individually numbered and acknowledged using a stop-and-wait protocol (i.e., the sender may not transmit fragment \( k + 1 \) until it has received the acknowledgment for fragment \( k \)). Once the channel has been acquired using RTS and CTS, multiple fragments can be sent in a row, as shown in Fig. 4-28. sequence of fragments is called a fragment burst.

Fragmentation increases the throughput by restricting retransmissions to the bad fragments rather than the entire frame. The fragment size is not fixed by the standard but is a parameter of each cell and can be adjusted by the base station. The NAV mechanism keeps other stations quiet only until the next acknowledgement, but another mechanism (described below) is used to allow a whole fragment burst to be sent without interference.

All of the above discussion applies to the 802.11 DCF mode. In this mode, there is no central control, and stations compete for air time, just as they do with Ethernet. The other allowed mode is PCF, in which the base station polls the oth-
er stations, asking them if they have any frames to send. Since transmission order is completely controlled by the base station in PCF mode, no collisions ever occur. The standard prescribes the mechanism for polling, but not the polling frequency, polling order, or even whether all stations need to get equal service.

The basic mechanism is for the base station to broadcast a beacon frame periodically (10 to 100 times per second). The beacon frame contains system parameters, such as hopping sequences and dwell times (for FHSS), clock synchronization, etc. It also invites new stations to sign up for polling service. Once a station has signed up for polling service at a certain rate, it is effectively guaranteed a certain fraction of the bandwidth, thus making it possible to give quality-of-service guarantees.

Battery life is always an issue with mobile wireless devices, so 802.11 pays attention to the issue of power management. In particular, the base station can direct a mobile station to go into sleep state until explicitly awakened by the base station or the user. Having told a station to go to sleep, however, means that the base station has the responsibility for buffering any frames directed at it while the mobile station is asleep. These can be collected later.

PCF and DCF can coexist within one cell. At first it might seem impossible to have central control and distributed control operating at the same time, but 802.11 provides a way to achieve this goal. It works by carefully defining the interframe time interval. After a frame has been sent, a certain amount of dead time is required before any station may send a frame. Four different intervals are defined, each for a specific purpose. The four intervals are depicted in Fig. 4-29.

The shortest interval is SIFS (Short InterFrame Spacing). It is used to allow the parties in a single dialog the chance to go first. This includes letting the receiver send a CTS to respond to an RTS, letting the receiver send an ACK for a fragment or full data frame, and letting the sender of a fragment burst transmit the next fragment without having to send an RTS again.

There is always exactly one station that is entitled to respond after a SIFS interval. If it fails to make use of its chance and a time PIFS (PCF InterFrame Spacing)
Spacing) elapses, the base station may send a beacon frame or poll frame. This mechanism allows a station sending a data frame or fragment sequence to finish its frame without anyone else getting in the way, but gives the base station a chance to grab the channel when the previous sender is done without having to compete with eager users.

If the base station has nothing to say and a time DIFS (DCF InterFrame Spacing) elapses, any station may attempt to acquire the channel to send a new frame. The usual contention rules apply, and binary exponential backoff may be needed if a collision occurs.

The last time interval, EIFS (Extended InterFrame Spacing), is used only by a station that has just received a bad or unknown frame to report the bad frame. The idea of giving this event the lowest priority is that since the receiver may have no idea of what is going on, it should wait a substantial time to avoid interfering with an ongoing dialog between two stations.

4.4.4 The 802.11 Frame Structure

The 802.11 standard defines three different classes of frames on the wire: data, control, and management. Each of these has a header with a variety of fields used within the MAC sublayer. In addition, there are some headers used by the physical layer but these mostly deal with the modulation techniques used, so we will not discuss them here.

The format of the data frame is shown in Fig. 4-30. First comes the Frame Control field. It itself has 11 subfields. The first of these is the Protocol version, which allows two versions of the protocol to operate at the same time in the same cell. Then come the Type (data, control, or management) and Subtype fields (e.g., RTS or CTS). The To DS and From DS bits indicate the frame is going to or coming from the intercell distribution system (e.g., Ethernet). The MF bit means that more fragments will follow. The Retry bit marks a retransmission of a frame sent earlier. The Power management bit is used by the base station to put the receiver into sleep state or take it out of sleep state. The More bit indicates that
the sender has additional frames for the receiver. The \( W \) bit specifies that the frame body has been encrypted using the **WEP (Wired Equivalent Privacy)** algorithm. Finally, the \( O \) bit tells the receiver that a sequence of frames with this bit on must be processed strictly in order.

![Frame control](image)

**Figure 4-30.** The 802.11 data frame.

The second field of the data frame, the **Duration** field, tells how long the frame and its acknowledgement will occupy the channel. This field is also present in the control frames and is how other stations manage the NAV mechanism. The frame header contains four addresses, all in standard IEEE 802 format. The source and destination are obviously needed, but what are the other two for? Remember that frames may enter or leave a cell via a base station. The other two addresses are used for the source and destination base stations for intercell traffic.

The **Sequence** field allows fragments to be numbered. Of the 16 bits available, 12 identify the frame and 4 identify the fragment. The **Data** field contains the payload, up to 2312 bytes, followed by the usual **Checksum**.

Management frames have a format similar to that of data frames, except without one of the base station addresses, because management frames are restricted to a single cell. Control frames are shorter still, having only one or two addresses, no **Data** field, and no **Sequence** field. The key information here is in the **Subtype** field, usually RTS, CTS, or ACK.

### 4.4.5 Services

The 802.11 standard states that each conformant wireless LAN must provide nine services. These services are divided into two categories: five distribution services and four station services. The distribution services relate to managing cell membership and interacting with stations outside the cell. In contrast, the station services relate to activity within a single cell.

The five distribution services are provided by the base stations and deal with station mobility as they enter and leave cells, attaching themselves to and detaching themselves from base stations. They are as follows.
1. **Association.** This service is used by mobile stations to connect themselves to base stations. Typically, it is used just after a station moves within the radio range of the base station. Upon arrival, it announces its identity and capabilities. The capabilities include the data rates supported, need for PCF services (i.e., polling), and power management requirements. The base station may accept or reject the mobile station. If the mobile station is accepted, it must then authenticate itself.

2. **Disassociation.** Either the station or the base station may disassociate, thus breaking the relationship. A station should use this service before shutting down or leaving, but the base station may also use it before going down for maintenance.

3. **Reassociation.** A station may change its preferred base station using this service. This facility is useful for mobile stations moving from one cell to another. If it is used correctly, no data will be lost as a consequence of the handover. (But 802.11, like Ethernet, is just a best-efforts service.)

4. **Distribution.** This service determines how to route frames sent to the base station. If the destination is local to the base station, the frames can be sent out directly over the air. Otherwise, they will have to be forwarded over the wired network.

5. **Integration.** If a frame needs to be sent through a non-802.11 network with a different addressing scheme or frame format, this service handles the translation from the 802.11 format to the format required by the destination network.

The remaining four services are intracell (i.e., relate to actions within a single cell). They are used after association has taken place and are as follows.

1. **Authentication.** Because wireless communication can easily be sent or received by unauthorized stations, a station must authenticate itself before it is permitted to send data. After a mobile station has been associated by the base station (i.e., accepted into its cell), the base station sends a special challenge frame to it to see if the mobile station knows the secret key (password) that has been assigned to it. It proves its knowledge of the secret key by encrypting the challenge frame and sending it back to the base station. If the result is correct, the mobile is fully enrolled in the cell. In the initial standard, the base station does not have to prove its identity to the mobile station, but work to repair this defect in the standard is underway.
2. **Deauthentication.** When a previously authenticated station wants to leave the network, it is deauthenticated. After deauthentication, it may no longer use the network.

3. **Privacy.** For information sent over a wireless LAN to be kept confidential, it must be encrypted. This service manages the encryption and decryption. The encryption algorithm specified is RC4, invented by Ronald Rivest of M.I.T.

4. **Data delivery.** Finally, data transmission is what it is all about, so 802.11 naturally provides a way to transmit and receive data. Since 802.11 is modeled on Ethernet and transmission over Ethernet is not guaranteed to be 100% reliable, transmission over 802.11 is not guaranteed to be reliable either. Higher layers must deal with detecting and correcting errors.

An 802.11 cell has some parameters that can be inspected and, in some cases, adjusted. They relate to encryption, timeout intervals, data rates, beacon frequency, and so on.

Wireless LANs based on 802.11 are starting to be deployed in office buildings, airports, hotels, restaurants, and campuses around the world. Rapid growth is expected. For some experience about the widespread deployment of 802.11 at CMU, see (Hills, 2001).