

## ***Section 5: Thin Film Deposition*** *part 1 : sputtering and evaporation*

### Jaeger Chapter 6

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### ***Vacuum Basics***

#### **1. Units**

- 1 atmosphere = 760 torr =  $1.013 \times 10^5$  Pa
- 1 bar =  $10^5$  Pa = 750 torr
- 1 torr = 1 mm Hg
- 1 mtorr = 1 micron Hg
- $1 \text{ Pa} = 7.5 \text{ mtorr} = 1 \text{ newton/m}^2$
- 1 torr = 133.3 Pa

#### **2. Ideal Gas Law: $PV = NkT$**

- $k = 1.38\text{E-}23$  Joules/K  
=  $1.37\text{E-}22$  atm cm<sup>3</sup>/K
- N = # of molecules (*note the typo in your book*)
- T = absolute temperature in K

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### 3. Dalton's Law of Partial Pressure

For mixture of non-reactive gases in a common vessel, each gas exerts its pressure independent of others.

$$P_{\text{total}} = P_1 + P_2 + \dots + P_N \quad (\text{Total } P = \text{Sum of partial pressure})$$

$$N_{\text{total}} = N_1 + N_2 + \dots + N_N$$

$$P_1V = N_1kT$$

$$P_2V = N_2kT$$

$$\dots\dots\dots$$
$$P_NV = N_NkT$$

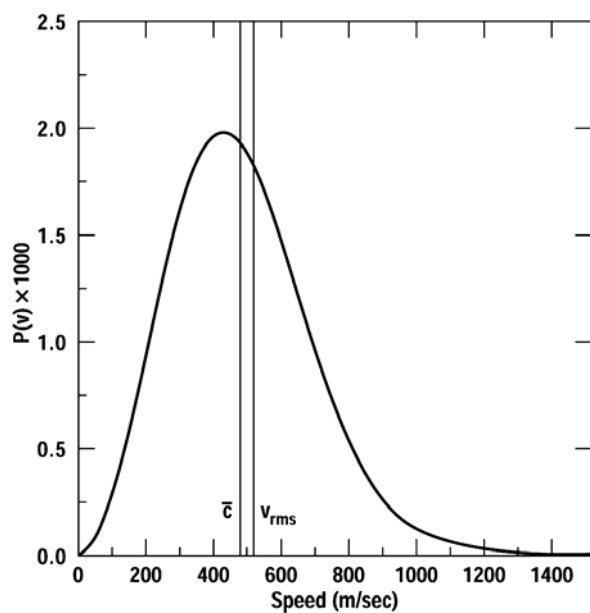
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### 4. Average Molecular Velocity

Assumes Maxwell-Boltzmann Velocity Distribution

$$\bar{v} = (8kT/\pi m)^{1/2}$$

where  $m$  = molecular weight of gas molecule



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## 5. Mean Free Path between collisions

$$\lambda = \frac{kT}{\sqrt{2} \pi d^2 P}$$

where  $n$  = molecular density =  $N/V$ ,

$d$  = molecular diameter

[Note] For air at 300 °K,  $\lambda = \frac{6.6}{P(\text{in Pa})} = \frac{0.05}{P(\text{in torr})}$

with  $\lambda$  in mm

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## 6. Impingement Rate

$\Phi$  = # of molecules striking unit surface /unit time.

$$= 3.5 \cdot 10^{22} \times \frac{P}{\sqrt{MT}} \quad \begin{array}{l} \text{in \#/cm}^2\text{-sec} \\ \text{with } P \text{ in torr, } M \text{ is the} \\ \text{molecular weight} \end{array}$$

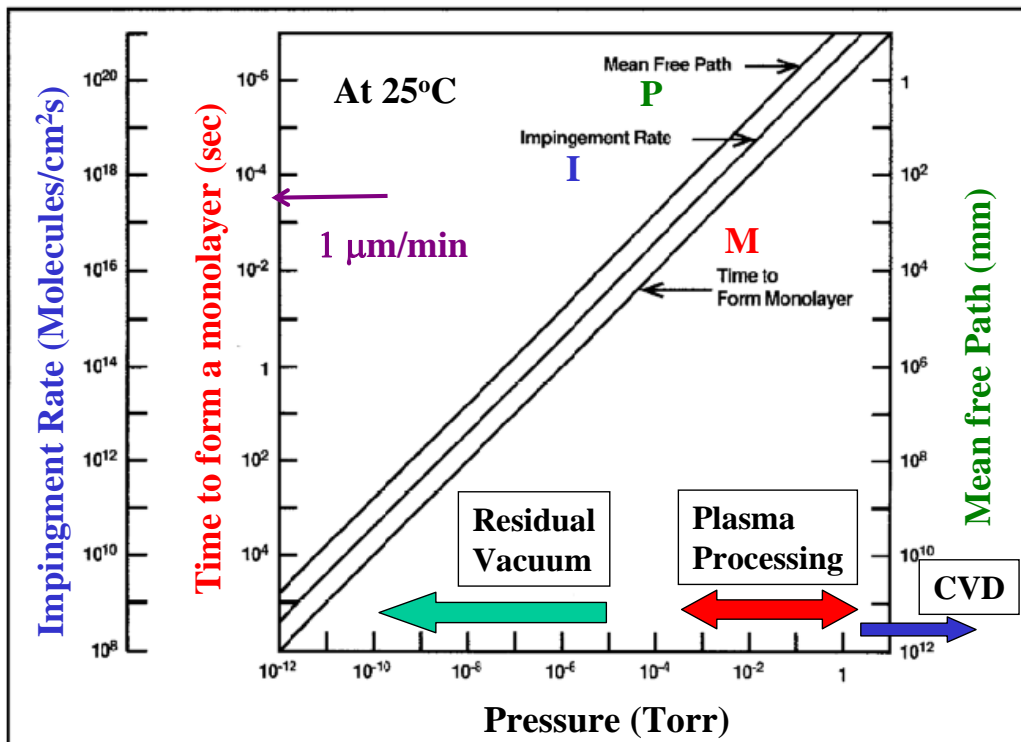
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# Question

How long does it take to form a monolayer of gas on the surface of a substrate?

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## Vacuum Basics (Cont.)



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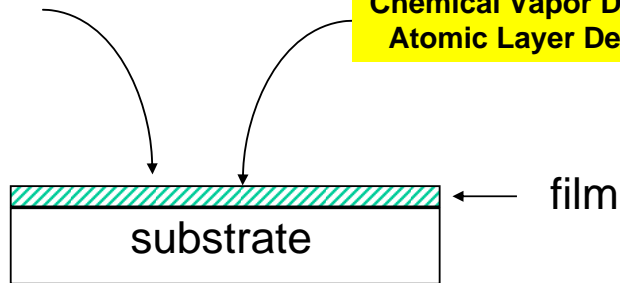
# Thin Film Deposition

## Physical Methods

Evaporation  
Sputtering

## Chemical Methods

Chemical Vapor Deposition (CVD)  
Atomic Layer Deposition (ALD)

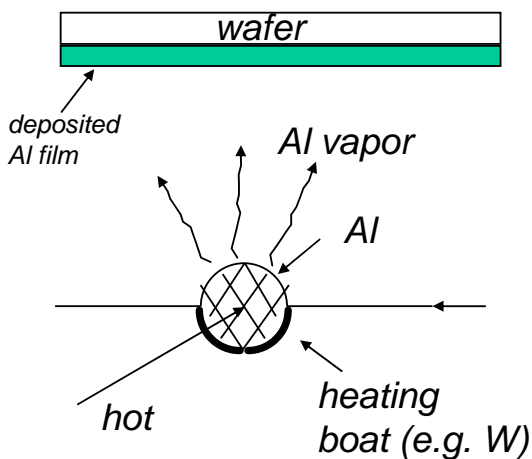


### Applications:

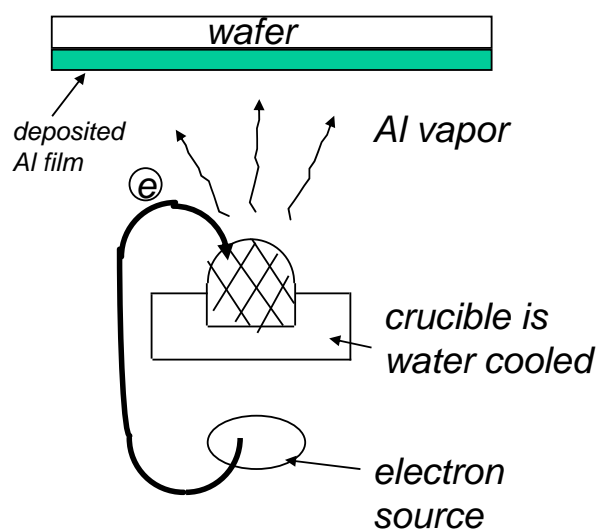
Metalization (e.g. Al, TiN, W, silicide)  
Poly-Si  
dielectric layers; surface passivation.

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## Evaporation



### Thermal Evaporation

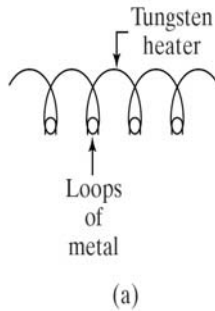


### Electron Beam Evaporation

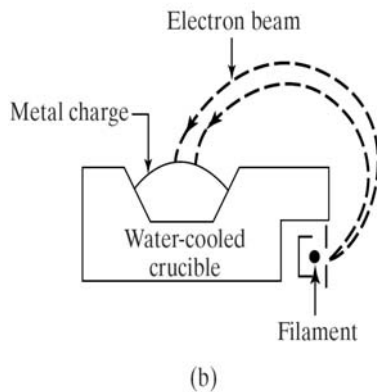
Gas Pressure:  $< 10^{-5}$  Torr

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## Evaporation: Filament & Electron Beam



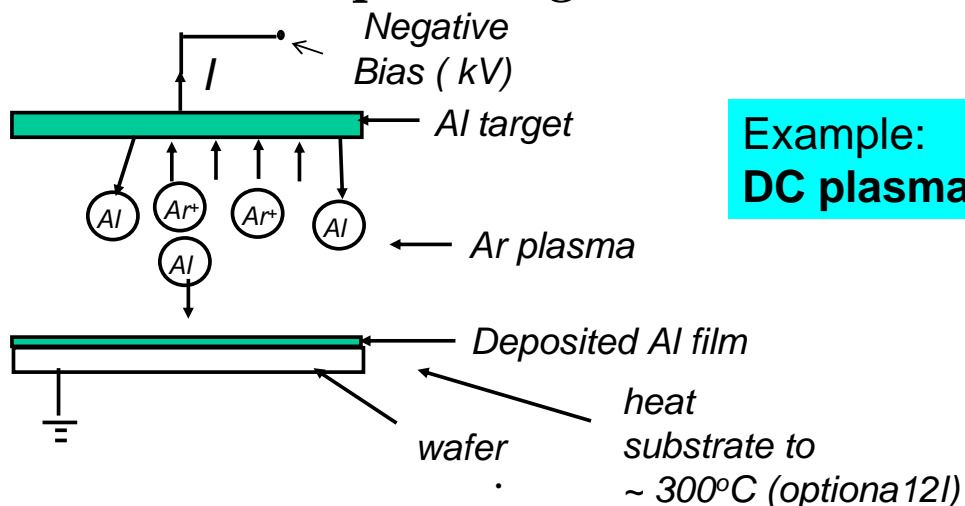
(a) Filament Evaporation with Loops of Wire Hanging from a Heated Filament



(b) Electron Beam is Focused on Metal Charge by a Magnetic Field

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## Sputtering



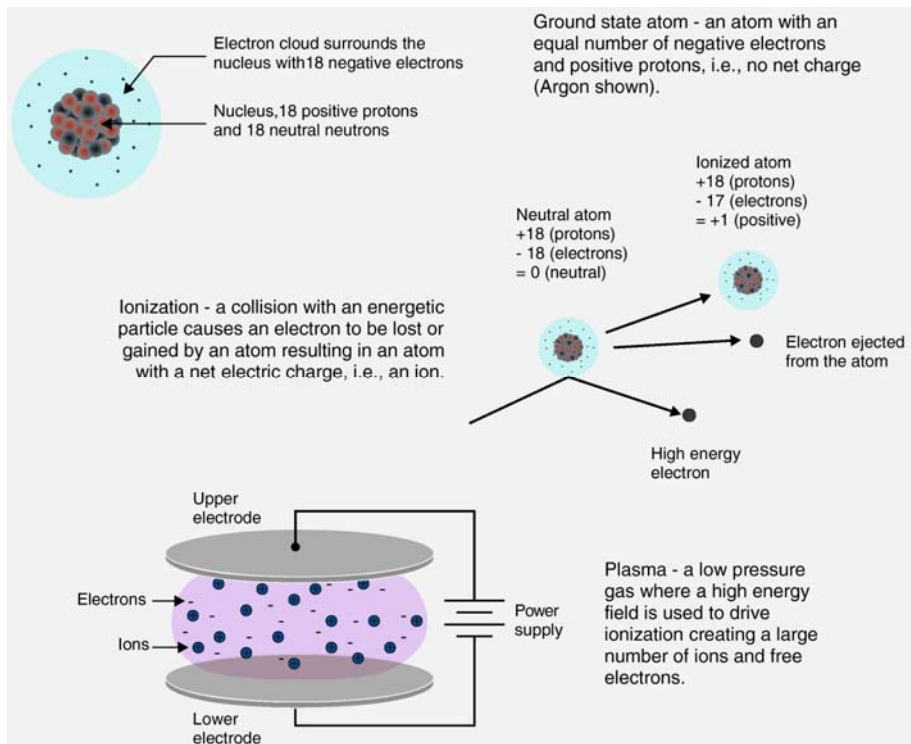
Example:  
DC plasma

Gas Pressure  $\cong 1-10$  m Torr

Deposition rate =  $constant \cdot I \cdot S$  ← sputtering yield  
 ↑  
 ion current

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## *Plasma Basics*



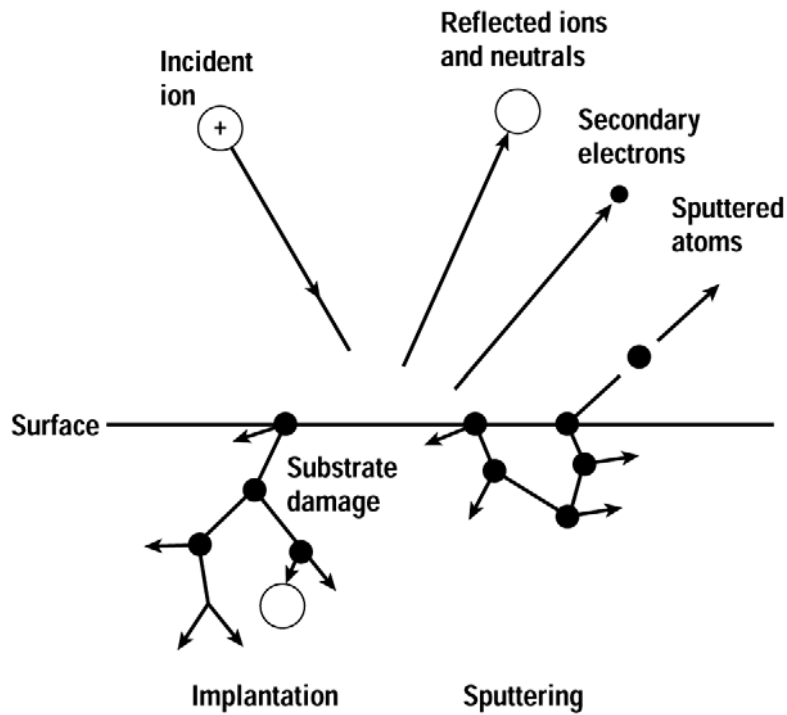
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## *Basic Properties of Plasma*

- The bulk of plasma contains equal concentrations of ions and electrons.
- Electric potential is  $\approx$  constant inside bulk of plasma. The voltage drop is mostly across the sheath regions.
- Plasma used in IC processing is a “weak” plasma, containing mostly neutral atoms/molecules. Degree of ionization is  $\approx 10^{-3}$  to  $10^{-6}$ .

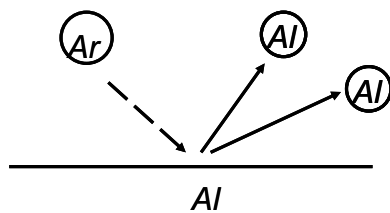
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## Outcomes of Plasma bombardment



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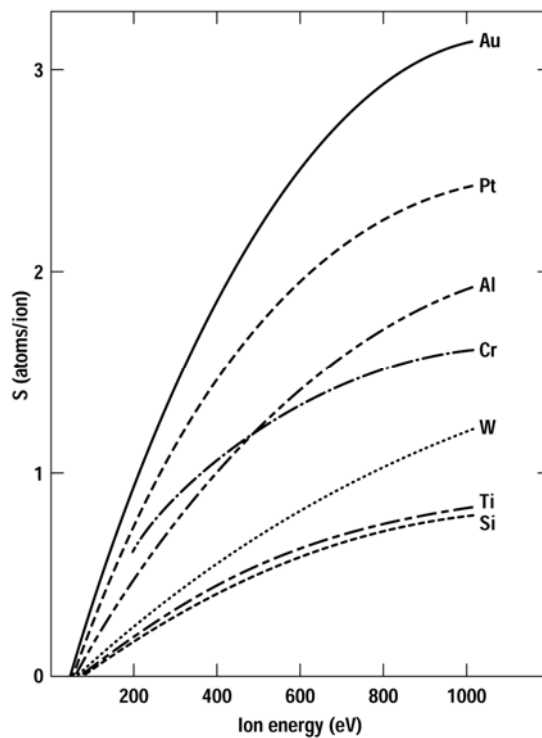
## Sputtering Yield



Sputtering Yield  $S$

$$S \equiv \frac{\text{\# of ejected target atoms}}{\text{incoming ion.}}$$

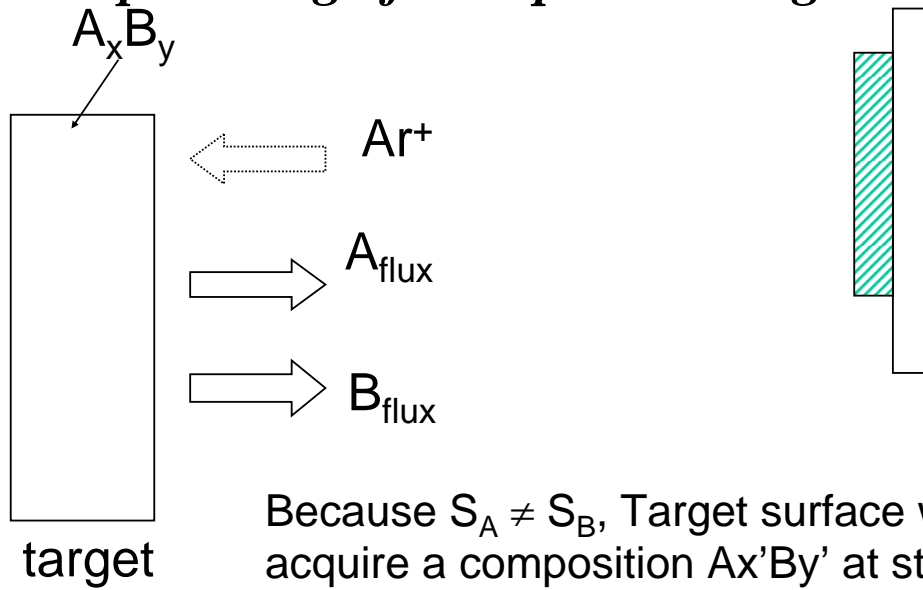
$$0.1 < S < 30$$



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## *Sputtering of Compound Targets*



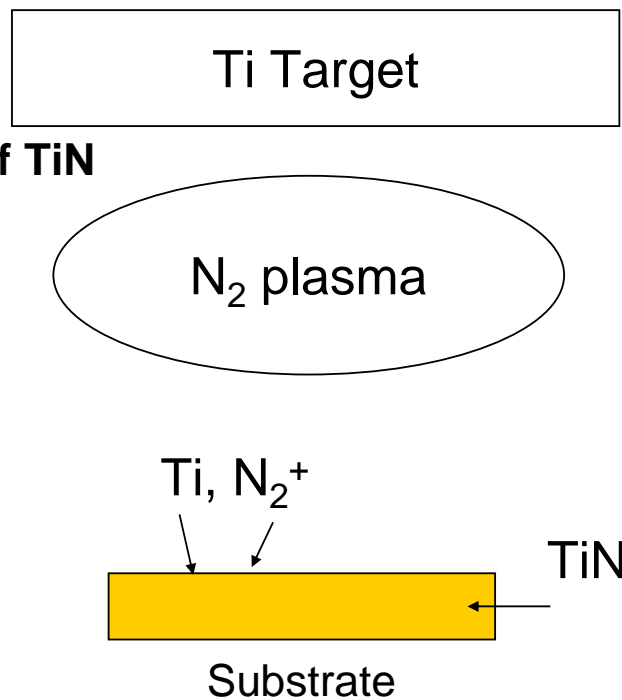
Because  $S_A \neq S_B$ , Target surface will acquire a composition  $A_{x'} B_{y'}$  at steady state.

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## *Reactive Sputtering*

### **Example: Formation of TiN**

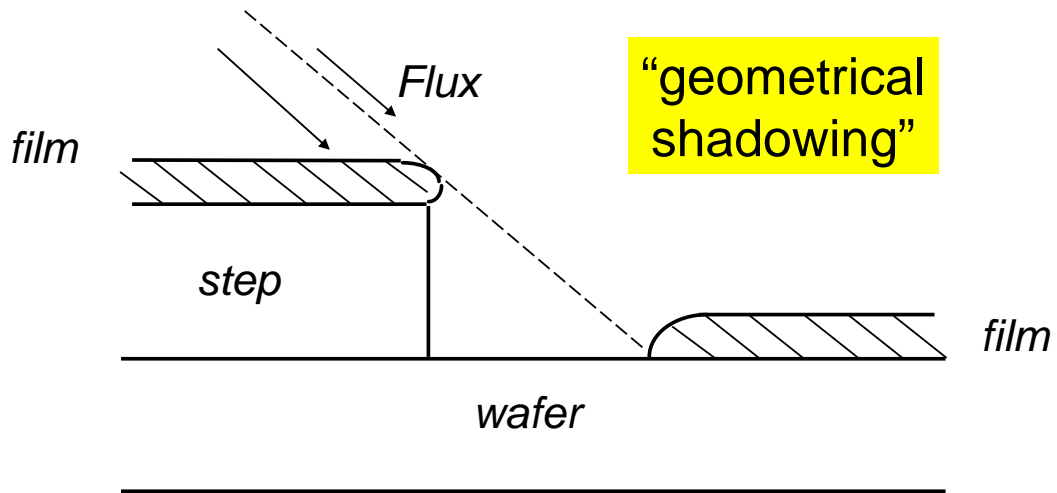
- Sputter a Ti target with a nitrogen plasma



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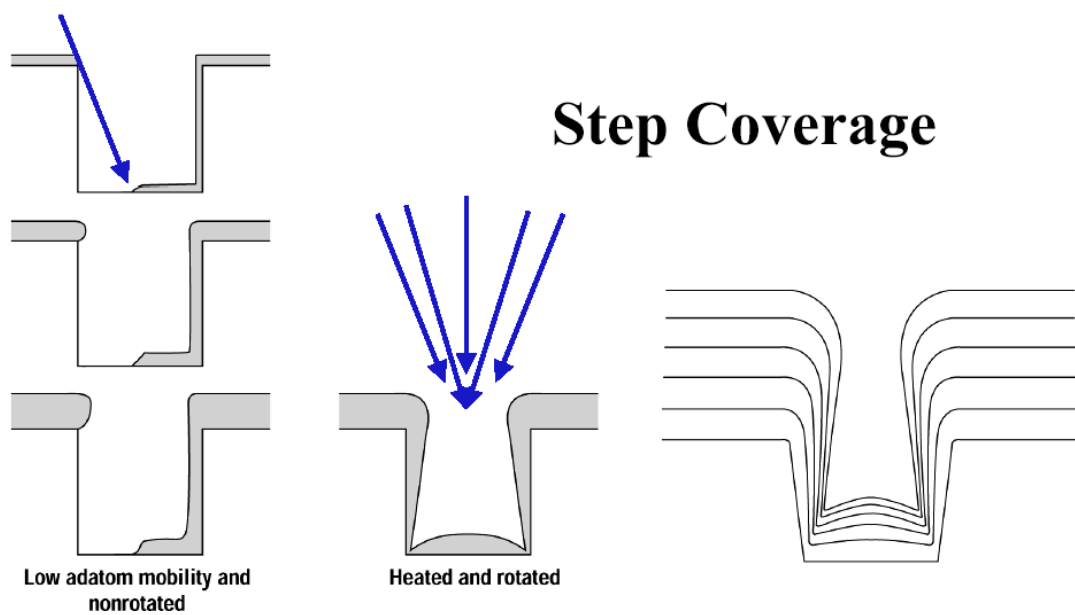
## Step Coverage Problem with PVD

- Both evaporation and sputtering have directional fluxes.



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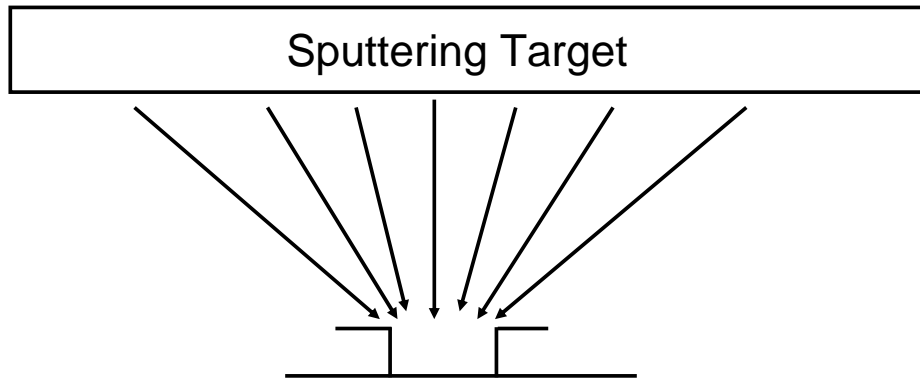
## Step Coverage concerns in contacts



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## *Methods to Minimize Step Coverage Problems*

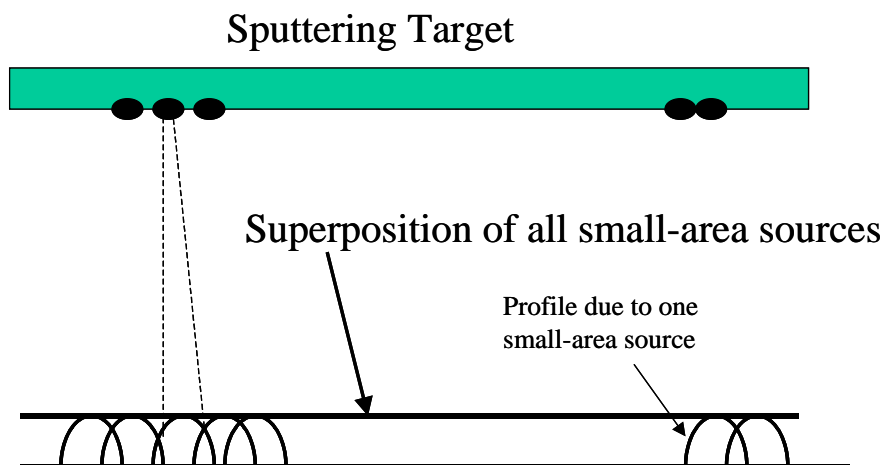
- Rotate + Tilt substrate during deposition
- Elevate substrate temperature (why?)
- Use large-area deposition source



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## *Advantages of Sputtering over Evaporation*

- For multi-component thin films, sputtering gives **better composition control** using compound targets. Evaporation depends on vapor pressure of various vapor components and is difficult to control.
- **Better lateral thickness uniformity** – superposition of multiple point sources



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