### Quick recap of last time.

**Erasure Codes:** Reconstructing a message if some parts of it (packets) are lost.

**Idea:** Encode n-packet message as a polynomial with n coefficients Send values at n+k points if  $\leq k$  will be lost Reconstruct from what you receive.

### The Scheme.

**Problem:** Communicate n packets  $m_1, \ldots, m_n$  on noisy channel that corrupts  $\leq k$  packets.

#### Reed-Solomon Code:

- 1. Make a polynomial, P(x) of degree n-1, that encodes message.
  - $P(1) = m_1, ..., P(n) = m_n.$
  - ► Recall: could encode with packets as coefficients.
- 2. Send P(1), ..., P(n+2k).

**After noisy channel:** Receive values  $R(1), \dots, R(n+2k)$ .

#### Properties:

(1) P(i) = R(i) for at least n+k points i,
(2) P(x) is unique degree n-1 polynomial that contains > n+k received points.

# Today's topic.

#### **Error Correction:**

Noisy Channel: corrupts *k* packets. (rather than loss/erasures.) Additional Challenge: Finding which packets are corrupt.

# Properties: proof.

P(x): degree n-1 polynomial. Send  $P(1), \ldots, P(n+2k)$ Receive  $R(1), \ldots, R(n+2k)$ At most k i's where  $P(i) \neq R(i)$ .

### Properties:

(1) P(i) = R(i) for at least n+k points i,
(2) P(x) is unique degree n-1 polynomial that contains ≥ n+k received points.

#### Proof:

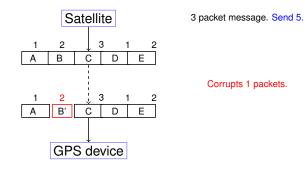
- (1) Easy. Only *k* corruptions (by assumption).
- (2) Degree n-1 polynomial Q(x) consistent with n+k points.
- Q(x) agrees with R(i), n+k times.

P(x) agrees with R(i), n+k times.

Total points contained by both: 2n+2k. P Pigeons. Total points to choose from : n+2k. H Holes. Points contained by both  $: \ge n$ .  $\ge P-H$  Collisions.  $\implies Q(i) = P(i)$  at n points.

 $\implies Q(x) = P(x).$ 

### **Error Correction**



# Example.

Message: 3,0,6.

Reed Solomon Code:  $P(x) = x^2 + x + 1 \pmod{7}$  has  $P(1) = 3, P(2) = 0, P(3) = 6 \pmod{7}$ .

Send: P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3.

Receive R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3.

P(i) = R(i) for n + k = 3 + 1 = 4 points.

### Slow solution.

#### **Brute Force:**

For each subset of n+k points Fit degree n-1 polynomial, Q(x), to n of them. Check if consistent with n+k of the total points. If yes, output Q(x).

- ► For subset of n+k pts where R(i) = P(i), method will reconstruct P(x)!
- ▶ For any subset of n+k pts,
  - 1. there is unique degree n-1 polynomial Q(x) that fits n of them
  - 2. and where Q(x) is consistent with n+k points  $\implies P(x) = Q(x)$ .

Reconstructs P(x) and only P(x)!!

## Where can the bad packets be?

$$E(1)(p_{n-1} + \cdots p_0) \equiv R(1)E(1) \pmod{p}$$

$$\mathbf{0} \times E(2)(p_{n-1}2^{n-1} + \cdots p_0) \equiv R(2)E(2) \pmod{p}$$

$$\vdots$$

$$E(m)(p_{n-1}(m)^{n-1} + \cdots p_0) \equiv R(n+2k)E(m) \pmod{p}$$

**Idea:** Multiply equation i by 0 if and only if  $P(i) \neq R(i)$ . All equations satisfied!!!!!

But which equations should we multiply by 0?

We will use a polynomial!!! One that we don't know... But can find!

Errors at points  $e_1, \ldots, e_k$ . (In diagram above,  $e_1 = 2$ .)

**Error locator polynomial:**  $E(x) = (x - e_1)(x - e_2)...(x - e_k).$ 

E(i) = 0 if and only if  $e_i = i$  for some j

Multiply equations by  $E(\cdot)$ . (Above E(x) = (x-2).)

All equations satisfied!!

### Example.

Received R(1)=3, R(2)=1, R(3)=6, R(4)=0, R(5)=3Find  $P(x)=p_2x^2+p_1x+p_0$  that contains n+k=3+1 points. All equations..

$$\begin{array}{rcl} \rho_2 + \rho_1 + \rho_0 & \equiv & 3 \pmod{7} \\ 4\rho_2 + 2\rho_1 + \rho_0 & \equiv & 1 \pmod{7} \\ 2\rho_2 + 3\rho_1 + \rho_0 & \equiv & 6 \pmod{7} \\ 2\rho_2 + 4\rho_1 + \rho_0 & \equiv & 0 \pmod{7} \\ 1\rho_2 + 5\rho_1 + \rho_0 & \equiv & 3 \pmod{7} \end{array}$$

Assume point 1 is wrong and solve...no consistent solution!
Assume point 2 is wrong and solve...consistent solution!

### Example.

Received 
$$R(1) = 3$$
,  $R(2) = 1$ ,  $R(3) = 6$ ,  $R(4) = 0$ ,  $R(5) = 3$   
Find  $P(x) = p_2 x^2 + p_1 x + p_0$  that contains  $n + k = 3 + 1$  points.  
Plugin points...
$$(1 = 2)(p_2 + p_1 + p_0) \equiv (3)(1 - 2) \pmod{7}$$

$$(2 - 2)(4p_2 + 2p_1 + p_0) \equiv (1)(2 - 2) \pmod{7}$$

$$\begin{array}{lll} (2-2)(4p_2+2p_1+p_0) & \equiv & (1)(2-2) \pmod{7} \\ (3-2)(2p_2+3p_1+p_0) & \equiv & (8)(3-2) \pmod{7} \\ (4-2)(2p_2+4p_1+p_0) & \equiv & (0)(4-2) \pmod{7} \\ (5-2)(4p_2+5p_1+p_0) & \equiv & (3)(5-2) \pmod{7} \end{array}$$

Error locator polynomial: (x-2).

Multiply equation i by (i-2). All equations satisfied!

But don't know error locator polynomial! Do know form: (x - e).

4 unknowns  $(p_0, p_1, p_2 \text{ and } e)$ , 5 nonlinear equations.

### In general..

$$P(x) = p_{n-1}x^{n-1} + \cdots p_0 \text{ and receive } R(1), \dots R(m=n+2k).$$

$$p_{n-1} + \cdots p_0 \equiv R(1) \pmod{p}$$

$$p_{n-1}2^{n-1} + \cdots p_0 \equiv R(2) \pmod{p}$$

$$\vdots$$

$$p_{n-1}i^{n-1} + \cdots p_0 \equiv R(i) \pmod{p}$$

$$\vdots$$

$$p_{n-1}(m)^{n-1} + \cdots p_0 \equiv R(m) \pmod{p}$$
Error!! .... Where???
Could be anywhere!!! ...so try everywhere.

**Runtime:**  $\binom{n+2k}{k}$  possibilitities.

Something like  $(n/k)^k$  ... Exponential in k!.

How do we find where the bad packets are efficiently?!?!?!

### The General Case.

$$E(1)(p_{n-1}+\cdots p_0) \equiv R(1)E(1) \pmod{p}$$

$$\vdots$$

$$E(i)(p_{n-1}i^{n-1}+\cdots p_0) \equiv R(i)E(i) \pmod{p}$$

$$\vdots$$

$$E(m)(p_{n-1}m^{n-1}+\cdots p_0) \equiv R(m)E(m) \pmod{p}$$

$$P(x) = p_{n-1}x^{n-1}+p_{n-2}x^{n-2}+\cdots+p_0$$

$$m = n+2k \text{ satisfied equations, } n+k \text{ unknowns. But nonlinear!}$$
Let  $Q(x) = E(x)P(x) = a_{n+k-1}x^{n+k-1}+\cdots a_0$ .
Rewrite the  $i$ th equation, for all  $i$ , as:

$$Q(i) = R(i)E(i)$$
.

Note: this is linear in  $a_i$  and coefficients of E(x)!

# Finding Q(x) and E(x)?

► E(x) has degree k ...

$$E(x) = x^k + b_{k-1}x^{k-1} \cdots b_0.$$

ightharpoonup Q(x) = P(x)E(x) has degree n+k-1 ...

$$Q(x) = a_{n+k-1}x^{n+k-1} + a_{n+k-2}x^{n+k-2} + \cdots + a_0$$

# Example: Compute P(x).

$$P(x) = x^2 + x + 1$$
  
Message is  $P(1) = 3, P(2) = 0, P(3) = 6$ .

# Solving for Q(x) and E(x)...and P(x)

For all points  $1, \ldots, i, n+2k$ ,

$$Q(i) = R(i)E(i) \pmod{p}$$

Gives n+2k linear equations.

$$\begin{array}{rcl} a_{n+k-1} + \ldots a_0 & \equiv & R(1)(1+b_{k-1}\cdots b_0) \pmod{p} \\ a_{n+k-1}(2)^{n+k-1} + \ldots a_0 & \equiv & R(2)((2)^k + b_{k-1}(2)^{k-1}\cdots b_0) \pmod{p} \\ & \vdots \\ a_{n+k-1}(m)^{n+k-1} + \ldots a_0 & \equiv & R(m)((m)^k + b_{k-1}(m)^{k-1}\cdots b_0) \pmod{p} \\ ... \text{ and } n+2k \text{ unknown coefficients of } Q(x) \text{ and } E(x)! \end{array}$$

Once we have those, compute P(x) as Q(x)/E(x).

# Error Correction: Berlekamp-Welch

Solve for coefficients of Q(x) and E(x).

Message:  $m_1, \ldots, m_n$ . **Sender:** 

- 1. Form degree n-1 polynomial P(x) where  $P(i) = m_i$ .
- 2. Send P(1), ..., P(n+2k).

#### Receiver:

- 1. Receive R(1), ..., R(n+2k).
- 2. Solve n+2k equations, Q(i)=E(i)R(i) to find Q(x)=E(x)P(x) and E(x).
- 3. Compute P(x) = Q(x)/E(x).
- 4. Compute  $P(1), \ldots, P(n)$ , recover the message.

### Example.

```
Received R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3

Q(x) = E(x)P(x) = a_3x^3 + a_2x^2 + a_1x + a_0

E(x) = x - b_0

Q(i) = R(i)E(i).

a_3 + a_2 + a_1 + a_0 \equiv 3(1 - b_0) \pmod{7}
a_3 + 4a_2 + 2a_1 + a_0 \equiv 1(2 - b_0) \pmod{7}
6a_3 + 2a_2 + 3a_1 + a_0 \equiv 6(3 - b_0) \pmod{7}
a_3 + 2a_2 + 4a_1 + a_0 \equiv 0(4 - b_0) \pmod{7}
6a_3 + 4a_2 + 5a_1 + a_0 \equiv 3(5 - b_0) \pmod{7}
a_3 = 1, a_2 = 6, a_1 = 6, a_0 = 5 \text{ and } b_0 = 2.
Q(x) = x^3 + 6x^2 + 6x + 5.
E(x) = x - 2.
```

# A key question.

Is there one and only one P(x) from Berlekamp-Welch procedure? **Existence**: there is a P(x) and E(x) that satisfy equations.

## Unique solution for P(x)?

**Uniqueness:** any solution Q'(x) and E'(x) have

$$\frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} = P(x). \tag{1}$$

Proof:

We claim

$$Q'(x)E(x) = Q(x)E'(x) \text{ on } n+2k \text{ values of } x.$$
 (2)

Equation 2 implies 1:

Q'(x)E(x) and Q(x)E'(x) are degree n+2k-1 and agree on n+2k points  $\implies Q'(x)E(x)=Q(x)E'(x)$ .

Cross divide.

# Revisiting last bit.

Claim: Q'(x)E(x) = Q(x)E'(x) on n+2k values of x.

**Proof:** Construction implies that

$$Q(i) = R(i)E(i)$$

$$Q'(i) = R(i)E'(i)$$

for  $i \in \{1, ..., n+2k\}$ .

If E(i) = 0, then Q(i) = 0. If E'(i) = 0, then Q'(i) = 0.

 $\implies Q(i)E'(i) = Q'(i)E(i)$  holds when E(i) or E'(i) are zero.

When E'(i) and E(i) are not zero

$$\frac{Q'(i)}{E'(i)} = \frac{Q(i)}{E(i)} = R(i).$$

Cross multiplying gives equality in fact for these points.

Points to polynomials, have to deal with zeros!

Berlekamp-Welch algorithm decodes correctly when at most *k* errors!

# Summary. Error Correction.

Communicate *n* packets, with *k* erasures.

How many packets? n+k

How to encode? With polynomial, P(x).

Of degree? n-1

Recover? Reconstruct P(x) with any n points!

Communicate *n* packets, with *k* errors.

How many packets? n+2k

How to encode? With polynomial, P(x). Of degree? n-1.

Recover?

Reconstruct error polynomial, E(x), and P(x)!

Nonlinear equations.

Reconstruct E(x) and Q(x) = E(x)P(x). Linear Equations.

Polynomial division! P(x) = Q(x)/E(x)!

Reed-Solomon codes. Berlekamp-Welch Decoding. Perfection!