CS61C Midterm Review on C & Memory Management

Fall 2006
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Some material taken from slides by:
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Overview

• C
  – Array and Pointer Goodness!
• Memory Management
  The Three Three’s!
Pointers in C

• Pointers
  – A pointer contains an address of a piece of data.
  – & gets the address of a variable
  – * dereferences a pointer

```c
int a; /*Declare a*/
int *b = &a; /*get address of A*/
int c = *b; /*dereference B - get C*/
```
Pointer Math

• Consider

```c
int * a = malloc(3*sizeof(int));
int * b = a + 2;
```

• This is the same as:

```c
int * a = malloc(3*sizeof(int));
int * b = (int*)(((int)a) + 2*sizeof(*a));
```

(In other words, b will increase by 8 in this example)
Arrays in C

- **Arrays vs. Pointers**
  - Interchangeable when used in a function:
    ```c
    void foo (int * a); IS
    void foo (int a[]);
    ```
  - `array[index]` is equivalent to `*(array+index)`
    ```c
    b[i]; IS /*remember pointer math!*/
    *(b+i);
    ```
  - Arrays also have a special declaration to allocate stack space.
    ```c
    int c[5]; /*creates 5 integers on the stack*/
    ```

**NOTE:** `c` acts like a special “read-only pointer” that can’t be modified!
A Note about C Declarations

• Declarations have same syntax as use!
  -`int * a[2]; /*declare this*/`
  -Now doing `*a[1]` will return an int!

Question:
Is `int * a[2]` declaring an array of 2 pointers to integers or a pointer to arrays of 2 integers?
A Note about C Declarations

• Declarations have same syntax as use!
  - `int * a[2]; /*declare this*/`
  - Now doing `*a[1]` will return an int!

Question:
Is `int * a[2]` declaring an array of 2 pointers to integers or a pointer to arrays of 2 integers?

IT IS AN ARRAY OF 2 POINTERS TO INTEGERS!
This is because `a[1]` would return an int*!
And Structures/Unions

- **Struct keyword** defines new datatypes:

  ```c
  struct binTree{
    int a;
    struct binTree * left;
    struct binTree * right;
  };
  ```

  So: `sizeof(struct binTree) == 12`

- **Unions** allow types to be used interchangeably. Fields all use the same memory. Size is the largest field:

  ```c
  union anything{
    char a;
    int b;
    void * c;
  };
  ```

  So: `sizeof (union anything) == 4`
Pointers

How would you create this situation in C without using malloc()?

```
struct Node {
    int i;
    struct Node * next;
};
```
Pointers

```c
struct Node {
    int i;
    struct Node * next;
};

int main() {
    struct Node a, b, c[5], d;
    a.next = &b;
    b.next = c[0];
    c[0].next = &d;  /* c->next =&d; is also valid*/
    return 0;
}
```
Malloc

• Allocates memory on the heap
• Data not disappear after function is removed from stack
• How do you allocate an array of 10 integers?
Malloc

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    int *i = malloc(sizeof(int)*10);
Malloc

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• How do you allocate an array of 10 integers?
  ```c
  int *i= malloc(sizeof(int)*10);
  ```
• String of length 80?
Malloc

• Allocates memory on the heap
• Data not disappear after function is removed from stack
• How do you allocate an array of 10 integers?
  
  ```c
  int *i= malloc(sizeof(int)*10);
  ```

• String of length 80?
  
  ```c
  char *str= malloc(sizeof(char)*81);
  ```

/*Remember: Strings end with ‘\0’*/
Malloc

• Allocates memory on the heap
• Data not disappear after function is removed from stack
• How do you allocate an array of 10 integers?
  ```c
  int *i= malloc(sizeof(int)*10);
  ```
• String of length 80?
  ```c
  char *str= malloc(sizeof(char)*81);
  ```
• If you don’t free what you allocate, you memory leak:
  ```c
  free (str); /*Do this when done with str*/
  ```
Memory Management

Stack: local variables, grows down (lower addresses)

Heap: malloc and free, grows up (higher addresses)

Static: global variables, fixed size

~0xFFFF FFFF

Stack

↓

Heap

Static

Code

~0x0000 0000
You have a linked list which holds some value.
You want to insert in new nodes in before all nodes of a certain value.

```c
struct node {  
    int * i; /*pointer to some value in STATIC memory*/  
    struct node * next; /*next*/  
};

typedef struct node Node; /*typedef is for aliasing*/

void insertNodes(Node **lstPtr, int oldval, / 
     *something*) {  
    ...
}
```
struct node {
    int * i; /*pointer to some value in STATIC memory*/
    struct node * next; /*next*/
};
typedef struct node Node;

/*NOTE: lstPtr is a handle here. We do this in case the HEAD of the list is removed!/*

Which is correct?
void insertNodes(Node **lstPtr, int oldVal, int *newVal)
OR
void insertNodes(Node **lstPtr, int oldVal, int newVal)
struct node {
    int * i; /*pointer to some value in STATIC memory*/
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};

typedef struct node Node;

/*NOTE: lstPtr is a handle here. We do this in case the HEAD of the list is removed!*/

Which is correct?
void insertNodes(Node **lstPtr, int oldVal, int * newVal)
OR
void insertNodes(Node **lstPtr, int oldVal, int newVal)
List looks like:

- `insertNodes(&head, 1, ptr_to_1);` - Has no effect
- `insertNodes(&head, 4, ptr_to_1);` - List becomes:

  - A small hint: `&(f.a)` will return address of field a (of structure f)
Pointers

```c
void insertNodes(Node **lstPtr, int oldVal, int * newVal)
    if ((*lstPtr)==NULL) {
        /*Base CASE*/
    } else if (*((lstPtr)->i) == oldVal) {
        /*Equality*/
        /*Insert before this node*/
        /*Update *lstPtr somehow?*/
    } else {
        /*Inequality.. Resume*/
        /*But be careful with lstPtr!*/
    }
}
/*Recall that f->a IS (*f).a  */
void insertNodes(Node **lstPtr, int oldVal, int *newVal) {
    if ((*lstPtr) == NULL) {
        return;
    } else if (*(((*lstPtr)->i) == oldVal) {
        Node * old = *lstPtr;
        *lstPtr = malloc(sizeof(Node));
        (*lstPtr)->i = newVal;
        (*lstPtr)->next = old;
        insertNodes (&(old->next),
                     oldVal, newVal);
    } else {
        insertNodes ( &((*lstPtr)->next),
                      oldVal, newVal);
    }
}
A Reminder: Memory Management

- Stack: local variables, grows down (lower addresses)
- Heap: malloc and free, grows up (higher addresses)
- Static: global variables, fixed size
Memory (Heap) Management

• When allocating and freeing memory on the heap, we need a way to manage free blocks of memory.

• Lecture covered three different ways to manage free blocks of memory.
  • Free List (first fit, next fit, best fit)
  • Slab Allocator
  • Buddy System
Free List

- Maintains blocks in a (circular) list:

```c
struct malloc_block{
    struct malloc_block * next;
    int size;
    uint8_t data[size]; /*WARNING: This is pseudocode*/
};
```

Address returned to caller of `malloc()`
Free List Fits

- First Fit Selects first block (from head) that fits!
- Can lead to much fragmentation, but better locality (you’ll learn why this is important)

Example: `malloc (4*sizeof(char));`
Free List Fits

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- Can lead to much fragmentation, but better locality (you’ll learn why this is important)

Example: `malloc (4*sizeof(char));`
Free List Fits

• Next Fit selects next block (after last one picked) that fits!
• Tends to be rather fast (small blocks everywhere!)

Example: `malloc (5*sizeof(char));`
Free List Fits

- Next Fit selects next block (after last one picked) that fits!
- Tends to be rather fast (small blocks everywhere!)

Example: `malloc (5*sizeof(char));`
Free List Fits

• Best fit picks the smallest block >= requested size.
• Tries to limit fragmentation, but can be slow (often searches entire list)!

Example: `malloc (2*sizeof(char));`
Free List Fits

- Best fit picks the smallest block >= requested size.
- Tries to limit fragmentation, but can be slow (often searches entire list!)

Example: `malloc (2*sizeof(char));`
The Slab Allocator

- Only give out memory in powers of 2.
- Keep different memory pools for different powers of 2.
- Manage memory pool with bitmaps
- Revert to free list for large blocks.
The Slab Allocator

- Example: `malloc(24*sizeof(char));`
- Old:

```
16 byte blocks: [ ] [ ] [ ] [ ] [ ] [ ]
32 byte blocks: [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
64 byte blocks: [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
16 byte block bitmap: 11011000
32 byte block bitmap: 0111
64 byte block bitmap: 00
```

New:
The Slab Allocator

• Example: malloc(24*sizeof(char));

• Old:

  16 byte blocks: [Diagram showing allocation]
  32 byte blocks: [Diagram showing allocation]
  64 byte blocks: [Diagram showing allocation]

  16 byte block bitmap: 11011000
  32 byte block bitmap: 0111
  64 byte block bitmap: 00

New:

  16 byte blocks: [Diagram showing allocation]
  32 byte blocks: [Diagram showing allocation]
  64 byte blocks: [Diagram showing allocation]

  16 byte block bitmap: 11011000
  32 byte block bitmap: 1111
  64 byte block bitmap: 00
The Buddy System

• An adaptive Slab Allocator
• Return blocks of size n as usual.
• If not found, find block of size 2*n and split the block (This is recursive)!
• When block of size n is freed, merge it with its neighbor (if the neighbor is freed) into a block of size 2n (recursive!)
The Buddy System

• Example:

| 32 bytes free | 16 bytes free | 16 bytes TAKEN |

malloc(7*sizeof(char)); /*force request to be 8 bytes*/
The Buddy System

- Example:

```
malloc(7*sizeof(char)); /*force request to be 8 bytes*/
```

<table>
<thead>
<tr>
<th>32 bytes free</th>
<th>16 bytes free</th>
<th>16 bytes TAKEN</th>
</tr>
</thead>
<tbody>
<tr>
<td>32 bytes free</td>
<td>8 bytes free</td>
<td>8 bytes free</td>
</tr>
<tr>
<td></td>
<td></td>
<td>16 bytes TAKEN</td>
</tr>
</tbody>
</table>

The Buddy System

• Example:

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malloc(7*sizeof(char)); /*force request to be 8 bytes*/

| 32 bytes free | 8 bytes TAKEN | 8 bytes free | 16 bytes TAKEN |
The Buddy System

• Example:

<table>
<thead>
<tr>
<th></th>
<th>8 bytes</th>
<th>8 bytes</th>
<th>16 bytes free</th>
</tr>
</thead>
<tbody>
<tr>
<td>32 bytes free</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

free (a);
The Buddy System

- Example:

<table>
<thead>
<tr>
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<td></td>
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free (a):

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- Example: `free (a);`

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- Coalesce:

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The Buddy System

- Example: `free (a);`

| 32 bytes free | 16 bytes free | 16 bytes free |

- Coalesce:

| 32 bytes free | 32 bytes free |
The Buddy System

- Example: `free (a);`

- Coalesce:

```
  32 bytes free
```

```
  32 bytes free
```

```
  64 bytes free =)
```
A Word about Fragmentation

- Internal fragmentation: Wasted space within an allocated block (i.e. I request 30 bytes but get a 32 byte block back)
- External Fragmentation: Wasted space between allocated blocks (if blocks were compacted, we could have more contiguous memory)
An Old Midterm Question 😊

For each of the allocation systems on the left, circle the column that describes its **fragmentation**:

<table>
<thead>
<tr>
<th>Buddy System</th>
<th>Causes internal only</th>
<th>Causes external only</th>
<th>Causes both types</th>
</tr>
</thead>
<tbody>
<tr>
<td>Slab Allocator</td>
<td>Causes internal only</td>
<td>Causes external only</td>
<td>Causes both types</td>
</tr>
<tr>
<td>K&amp;R (Free List Only)</td>
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Garbage Collection

• Garbage collection is used for automatically cleaning up the heap. We can’t do this in C, because of pointer casting, pointer math, etc.

• Lecture covered three different ways to garbage collect:
  • Reference Count
  • Mark and Sweep
  • Stop and Copy
Reference Count

Root Set

1

2

1

1

1

1
Reference Count

Root Set

1

1

3

1

1

1

0
Reference Count

Lots of overhead – every time a pointer changes, the count changes. Unused cycles are never retrieved!
Mark and Sweep

Root Set

[Diagram of a rooted tree with arrows indicating connections between nodes.]

[Four boxes on the right side of the diagram, possibly representing additional elements or steps in the process.]
Mark and Sweep
Mark and Sweep

Root Set
Mark and Sweep

Root Set
Mark and Sweep

Requires us to stop every so often and mark all reachable objects (mark), then free all unmarked blocks (sweep). Once mark and sweep is done, unmark everything!
Requires us to also stop every so often. But for stop and copy, we move the block to an empty portion of the heap. Pointers must be changed to reflect the change in block location. Forwarding pointers must be used!
Three code gurus are using different garbage collection techniques on three identical machines (heap memory size $M$). Fill in the table. All answers should be a function of $M$, e.g., “$M/7$” or “$5M$”. (data = data in heap)

<table>
<thead>
<tr>
<th>What is the…</th>
<th>most space their data could take before GC</th>
<th>least space their data could take after GC?</th>
<th>Most space their data could take after GC</th>
<th>Most wasted space that GC can’t recover?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reference Counting</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mark and Sweep</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Copying</td>
<td></td>
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<td>Reference Counting</td>
<td>$M$ (-constant)</td>
<td>0</td>
<td>$M$ (-constant)</td>
<td>$M$ (-constant)</td>
</tr>
<tr>
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<td>$M$ (-constant)</td>
<td>0</td>
<td>$M$ (-constant)</td>
<td>0</td>
</tr>
<tr>
<td>Stop &amp; Copy</td>
<td>$M/2$ (- really small constant)</td>
<td>0</td>
<td>$M/2$ (- really small constant)</td>
<td>0</td>
</tr>
</tbody>
</table>