

## Query Languages for XML

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- ✓ XPath
- ✓ XQuery
- ✓ XSLT (not being covered today!)

(Slides courtesy Wenfei Fan, Univ Edinburgh and Bell Labs)

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## Common Querying Tasks

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- ✓ Filter, select XML values
  - Navigation, selection, extraction
- ✓ Merge, integrate values from multiple XML sources
  - Joins, aggregation
- ✓ Transform XML values from one schema to another
  - XML construction

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## Query Languages

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- ✓ XPath
  - Common language for navigation, selection, extraction
  - Used in XSLT, XQuery, XML Schema, . . .
- ✓ XQuery 1.0: XML ⇒ XML
  - Strongly-typed query language
  - Large-scale database access
  - Safety/correctness of operations on data
- ✓ XSLT: XML ⇒ XML, HTML, Text
  - Loosely-typed scripting language
  - Format XML in HTML for display in browser
  - Highly tolerant of variability/errors in data

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## XML data: Running example

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XML input: [www.a.b/bib.xml](http://www.a.b/bib.xml)

```

<book year="1996">
  <title> HTML </title>
  <author> <last> Lee </last> <first> T. </first></author>
  <author> <last> Smith</last> <first>C.</first></author>
  <publisher> Addison-Wesley </publisher>
  <price> 59.99 </price>
</book>
<book year="2003">
  <title> WMD </title>
  <author> <last> Bush</last> <first> G.</first></author>
  <publisher> white house </publisher>
</book>
  
```

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## DTD

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```

<!ELEMENT bib (book*) >
<!ELEMENT book (title, (author+ | editor+),
publisher?, price?) >
<!ATTLIST book year CDATA #required >
<!ELEMENT author (last, first)>
<!ELEMENT editor (last, first, affiliation)>
<!ELEMENT publisher (#PCDATA) >
....
  
```

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## Data model

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Node-labeled, **ordered** tree

```

graph TD
  bib --> book1[book]
  bib --> book2[book]
  book1 --> title1[title]
  book1 --> author1_1[author]
  book1 --> author1_2[author]
  book1 --> publisher1[publisher]
  book1 --> phone1[phone]
  book1 --> year1["@year"]
  author1_1 --> last1_1[last]
  author1_1 --> first1_1[first]
  author1_2 --> last1_2[last]
  author1_2 --> first1_2[first]
  book2 --> year2["@year"]
  book2 --> title2[title]
  book2 --> author2[author]
  book2 --> publisher2[publisher]
  author2 --> last2[last]
  author2 --> first2[first]
  
```

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### XPath

W3C standard: [www.w3.org/TR/xpath](http://www.w3.org/TR/xpath)

- ✓ Navigating an XML tree and finding parts of the tree (node selection and value extraction)

Given an XML tree *T* and a context node *n*, an XPath query *Q* returns

- the set of nodes reachable via *Q* from the node *n* in *T* – if *Q* is a unary query
- truth value indicating whether *Q* is true at *n* in *T* – if *Q* is a Boolean query.

- ✓ Implementations: XALAN, SAXON, Berkeley DB XML, Monet XML – freeware, which you can play with
- ✓ A major element of XSLT, XQuery and XML Schema

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### XPath constructs

XPath query *Q*:

- Tree traversal: downward, upward, sideways
- Relational/Boolean expressions: qualifiers (predicates)
- Functions: aggregation (e.g., count), string functions

`//author[last="Bush"]`  
`//book[author/last="Bush"]/title | //book[author/last="Blair"]/title`

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### Downward traversal

Syntax:

`Q ::= . | | | @ | Q/Q | Q|Q | //Q | /Q | Q[q]`  
`q ::= Q | Q op c | q and q | q or q | not(q)`

- ✓ `.`: self, the current node
- ✓ `|`: either a tag (label) or `*`: wildcard that matches any label
- ✓ `@`: attribute
- ✓ `/`, `|`: concatenation (child), union
- ✓ `//`: descendants or self, "recursion"
- ✓ `[q]`: qualifier (filter, predicate)
  - `op`: =, !=, <=, <, >, >=, >
  - `c`: constant
  - `and`, `or`, `not()`: conjunction, disjunction, negation

Existential semantics: `/bib/book[author/last="Bush"]`

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### Examples:

- ✓ `parent/child`: `/bib/book`
- ✓ `ancestor/descendant`: `bib/last`, `//last`
- ✓ wild card: `bib/book/*`
- ✓ attributes: `bib/book/@year`
- ✓ attributes with wild cards: `//book/@*`
- ✓ union: `editor | author`

Are `book/author` and `//author` "equivalent" at context nodes (1) root, (2) `book`, (3) `author`?

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### Filters (qualifiers)

- ✓ `//book[price]/title` -- titles of books with a price
- ✓ `//book[@year > 1991]/title` -- titles of books published after 1991
- ✓ `//book[title and author and not(price)]/title`  
titles of books with authors, title but no price
- ✓ `//book[author/last = "Bush"]/title`  
titles of books with an author whose last name is Bush
- ✓ `//book[author or editor]/title`  
titles of books with either an author or an editor

Existential semantics:  
 What is `/!/@id`? `/!/[not(@id)]`? `/!/[not(![not(@id)])]`?

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### Upward traversal

Syntax:

`Q ::= ... | ../Q | ancestor::Q | ancestor-or-self::Q`

- ✓ `..`: parent
- ✓ `ancestor`, `ancestor-or-self`: recursion

Example:

- ✓ `//author[../title = "WMD"]/last`  
find the last names of authors of books with the title "WMD"
- ✓ `ancestor::book[//last="Bush"]`  
find book ancestors with "Bush" as its last descendant

Are the following equivalent to each other (context node: a book)?  
`../book/author`, `../author`

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## Sideways

Syntax:  
 Q ::= ... | following-sibling :: Q | preceding-sibling :: Q

- ✓ following-sibling: the right siblings
- ✓ preceding-sibling: the left siblings
- ✓ position function (starting from 1): e.g., //author[position() < 2]

Example:

- ✓ following-sibling :: book [//last="Bush"]  
find the books that are right siblings and are written by Bush
- ✓ preceding-sibling :: book [//last="Bush"]  
find the books that are left siblings and are written by Bush

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## Query Languages for XML

- ✓ XPath
- ✓ XQuery
- ✓ XSLT

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## XQuery

W3C working draft [www.w3.org/TR/xquery](http://www.w3.org/TR/xquery)

Functional, strongly typed query language: Turing-complete

- ✓ XQuery = XPath + ...
  - for-let-where-return (FLWR) ~ SQL's SELECT-FROM-WHERE
  - Sort-by
  - XML construction (Transformation)
  - Operators on types (Compile & run-time type tests)
- + User-defined functions
  - Modularize large queries
  - Process recursive data
- + Strong typing
  - Enforced statically or dynamically
- ✓ Implementation: GALAX, SAXON
  - <http://www-db.research.bell-labs.com/galax/>
  - <http://www.saxonica.com> Q SX (LN 3)

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## FLWR Expressions

For, Let, Where, OrderBy, return

Q1: Find titles and authors of all books published by Addison-Wesley after 1991.

```

<answer>{
  for $book in /bib/book
  where $book/@year > 1991 and $book/publisher='Addison-Wesley'
  return <book>
    <title> { $book/title } </title>,
    for $author in $book/author return
    <author> { $author } </author>
} </answer>

```

- ✓ for loop; \$x: variable
- ✓ where: condition test; selection Q SX (LN 3)
- ✓ return: evaluate an expression and return its value

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## join

Find books that cost more at Amazon than at BN

```

<answer>{
  let $amazon := doc("http://www.amazon.com/books.xml"),
  $bn := doc("http://www.BN.com/books.xml")
  for $a in $amazon/books/book,
  $b in $bn/books/book
  where $a/isbn = $b/isbn and $a/price > $b/price
  return <book> { $a/title, $a/price, $b/price } <book>
} </answer>

```

- ✓ let clause
- ✓ join: of two documents

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## Conditional expression

Q2: Find all book titles, and prices where available

```

<answer>{
  for $book in /bib/book
  return <book>
    <title> { $book/title } </title>,
    { if $book[price]
      then <price> { $book/price } </price>
      else ( ) }
} </answer>

```

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## Summary and Review

### Query languages for XML

- ✓ XPath: navigating an XML tree
- ✓ XQuery: XML query language

Very powerful (as opposed to relational algebra); however, query processing/optimization is **hard** – open issue!

### For thought:

- ✓ Write queries on the school document you created, using XPath, XSLT and XQuery; display the query answers in HTML
- ✓ Find some queries in XPath, XSLT and XQuery that are not expressible in SQL, even when relational data is considered (i.e., relational data represented in a canonical form in XML)