July 3rd: Cryptography I

Question 1  Block Cipher Potpourri  (20 min)
Answer the following short questions about block ciphers.

1. Are block ciphers IND-CPA?

Solution: No, as mentioned in lecture, block ciphers alone are not IND-CPA because they are deterministic and will always give the same output for the same input. The proposed solution is to create schemes using block ciphers that add entropy to each message such as the IV in CBC (cipher block chaining) or the nonce in CTR (counter) modes. There is a scheme just using a block cipher called ECB (electronic codebook) mode where encryption is done on a block by block basis without incorporating any additional entropy.

2. Which of these are good possible sources of entropy for key generation for a block cipher?

- The computer’s clock time (assumed in seconds)
- The Parent Process ID ⊕ my Process ID ⊕ time
- Hardware noise generator
- Hardware noise generator ⊕ time
- 101010101... ⊕ Hardware noise generator

Solution:
- No, a computer clock counts the number of seconds from a given point in time (traditionally the epoch of unix), and because of this, the entropy of such a request is dramatically reduced if you can narrow down the window of time when such a call was made. If you are able to narrow down the year in which a call to time was made, the entropy is reduced to 25 bits, narrowing it down to a month is 22 bits, and narrowing it down to the day is 17 bits.
- No, time as outlined above is not a sufficient source of entropy. Similarly, process ID is also insufficient (known OS protocols can make this guessable). Adding two or more insufficient sources of entropy does not guar-
antee a sufficient source of entropy. This example was actually inspired by a previous implementation of Netscape’s SSL and you can read up on its insecurity by our very own David Wagner: https://people.eecs.berkeley.edu/~daw/papers/ddj-netscape.html

- Yes, the hardware implemented (psuedo) random number generators are traditionally very strong sources of entropy in today’s computers because they incorporate a physical source for their randomness. Other great examples that have been used are physical dice rollers, weather patterns, lava lamps, etc.

- Yes, given a proper source of entropy we can still combine it with a weak source without losing this randomness. This does rely on the fact that we are using a one-to-one function such as XOR, otherwise if we had instead used a bitwise AND or OR, we would have been removing the entropy provided by the hardware.

- Yes, this is just an extrapolation of the previous example. Even with a known value being included with our actual source of randomness, if we remove the 101010101... bitstring, we are still left with enough entropy to provide us with a good key.

3. Why does a block cipher need to be a permutation?

**Solution:** A block cipher needs to be one-to-one so that it is invertible, and if it wasn’t a permutation then more than one input could result in the same output: this means that a cipher-text couldn’t be decrypted.

4. Show that a random OTP (one-time pad) is IND-KPA.

**Solution:** Remember that in the IND-KPA game, the adversary only sees the two plaintexts they provide \( (M_0 \text{ and } M_1) \), and one ciphertext: \( C \). In the case of one-time pads, specifically:

\[
C = M_b \oplus K
\]

where \( M_b \) is the plaintext chosen by the challenger, and \( K \) is the randomly generated pad. Consider, now, that the adversary is looking to calculate this quantity:

\[
P(M_b = M_0 | C)
\]

or, the probability that the plaintext chosen by the challenger is \( M_0 \), given that they’ve seen a particular ciphertext. Using Baye’s rule we get:

\[
P(M_b = M_0 | C) = \frac{P(M_b = M_0 \cap C)}{P(C)} = \frac{P(M_b = M_0 \cap K = C \oplus M_0)}{P(C)}
\]
Since \( K \) is chosen independently at random:

\[
P(M_b = M_0|C) = \frac{P(M_b = M_0) \cdot P(K = C \oplus M_0)}{P(C)}
\]

Lastly, remember that \( b \) and \( K \) are both chosen uniformly at random, and that since \( C = K \oplus M_b \), \( C \) is also distributed uniformly over the space (for more on this, show \( R \sim R \oplus c \) for random variable \( R \), and constant \( c \)):

\[
P(M_b = M_0|C) = \frac{1/2 \cdot (1/2)^n}{(1/2)^n} = \frac{1}{2}
\]

Having seen only \( C \), \textit{any} challenger has only a fifty-fifty chance of guessing the correct \( M_b \).
Question 2  \textit{Block cipher security and modes of operation} \hspace{1cm} (20 min)

As a reminder, the cipher-block chaining (CBC) mode of operation works like this:

![Diagram of CBC mode encryption and decryption]

The output of the encryption is the ciphertext concatenated with the IV that was used.

1. Does the initialization vector (IV) have to be non-repeating? Why?

\textbf{Solution:} Yes, a fundamental criteria for IVs is that they cannot repeat. This prevents CBC from degenerating into a deterministic encryption algorithm (such as ECB mode). In deterministic encryption schemes, if we encrypt the same message multiple times, the ciphertexts will be identical each time. Unfortunately, deterministic encryption schemes can leak a lot of information. Consider the example from lecture where the Linux penguin is encrypted using ECB-mode. Even though all of the colors get mapped to new encrypted values, we can still clearly see the penguin since pixels of the same color share the exact same value after encryption.

To see why CBC-mode with a repeating IV becomes deterministic, consider the simple case of always using an IV of 0 and encrypting the same message twice. In this scenario, the first ciphertext block will always be $E_k(m[0])$, which will be the same value for two identical plaintext messages; this will then propagate to subsequent blocks and cause all of the ciphertext blocks to become equivalent.

When we use non-repeating IVs for CBC-mode, even if we encrypt the same message multiple times, CBC-mode will generate distinct and random-looking ciphertexts each time.

2. Is a non-repeating IV enough? Imagine you sequentially picked IVs from a list of non-repeating, but publicly-known, numbers, e.g., \textit{A Million Random Digits with
100,000 Normal Deviates (RAND, 1955).

Say Alice encrypts the one-block long message $m_1$ with initialization vector $IV_1$ to get $C_1$ and encrypts $m_2$ using $IV_2$ to get $C_2$. She gives these to Mallory and challenges her to tell which $C$ came from which $m$.

Mallory knows that Alice’s next IV will be $IV_3$, and can ask Alice to encrypt messages for her (a chosen plaintext attack). Can Mallory distinguish the two ciphertexts?

**Solution:** Yes. Mallory asks Alice for the encryption of $m_1 \oplus IV_1 \oplus IV_3$. When Alice runs CBC, the output will be the block cipher output for $m_1 \oplus IV_1$. But that’s just $C_1$! So for CBC an IV must also be unpredictable, which is to say it has to be kept secret until after the encryption is done.

Thus, IVs for CBC-mode encryption have two necessary criteria: (1) they must not repeat across messages and (2) they must be unpredictable. It turns out we can satisfy both criteria (with high probability) if we just generate a random IV for every message we encrypt.
Question 3  PRNGs and stream ciphers  (20 min)

$R$ is a pseudo-random number generator (PRNG), and $f$ is a function that takes as input 128-bit seed $s$, an integer $n$, and an integer $m$, and outputs the $m^{th}$ (inclusive) through $m^{th}$ (exclusive) pseudo-random bits produced by $R$ when it is seeded with seed $s$:

$$f(s, m, n) = R(s)[m : n]$$

1. Use $f$ to make a secure symmetric-key encryption scheme. That is, define the key generation algorithm, the encryption algorithm, and the decryption algorithm. You have access to 128 bits of entropy. You may also store some small amount of state.

Solution:

- **Key generation.** Generate a random 128-bit key from your source of entropy:

  $$K \in \{0, 1\}^{128}$$

  Initialize some stored state for $j := 0$.

- **Encryption.** Interpret $j$ as the latest index we have used from our PRNG (notice we started with $j := 0$). Let $L$ be the number of bits in message $M$. Then,

  $$E(K, M) = R(K, j, j + L) \oplus M.$$  

  Update the state of $j$ for subsequent encryptions: after every encryption, $j$ must be incremented by $L$.

- **Decryption.** Define $j$ and $L$ as above. We have

  $$D(K, C) = R(K, j, j + L) \oplus C.$$  

2. Explain how using a block cipher in counter (CTR) mode is similar to the scenario / scheme described above.

Solution: CTR mode is similar to a stream cipher mode. It uses the key to generate a pseudo-random stream of bits. This random stream is then XORed with the message to form the ciphertext.

In CTR mode, there is no computational dependency between the rounds, which enables an efficient parallel computation. Additionally, the $IV$ is replaced with a nonce and counter.

Nonce and counter are encrypted with key $K$ to produce the random stream that for a given element of the plaintext $P_i$ is XORed with $P_i$ to produce the
cipherext $C_i$. In summary, CTR is defined as:

$$R_i := E(K, \text{Nonce}||i)$$
$$C_i := P_i \oplus R_i$$
$$P_i := C_i \oplus R_i$$

where $||$ denotes concatenation.