

## Pyramid Coding

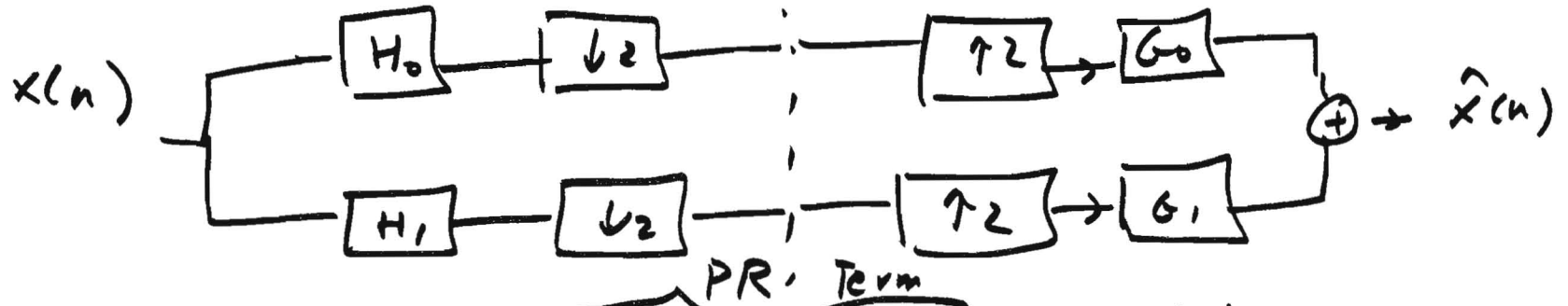
Fig 7.2 G+W 3E:

Total # of pixels in a  $P+1$  level pyramid for  $P > 0$

$$- N^2 \left( 1 + \frac{1}{(4)^1} + \frac{1}{(4)^2} + \frac{1}{(4)^3} + \dots + \frac{1}{4^P} \right) \leq \frac{4}{3} N^2$$

- Assumes base level is  $N^2$  or  ~~$N^2$~~   $N \times N$

## Subband Coding



$$\hat{X}(\omega) = \frac{1}{2} \left[ H_0(\omega) G_0(\omega) + H_1(\omega) G_1(\omega) \right] X(\omega) + \frac{1}{2} \left[ H_0(\omega - \pi) G_0(\omega) + H_1(\omega - \pi) G_1(\omega) \right] X(\omega - \pi)$$

aliasing Term

$$\hat{X}(z) = \frac{1}{2} \left[ H_0(z) G_0(z) + H_1(z) G_1(z) \right] X(z) + \frac{1}{2} \left[ H_0(-z) G_0(z) + H_1(-z) G_1(z) \right] X(-z)$$

To eliminate Aliasing, set

$$H_0(-z) G_0(z) + H_1(-z) G_1(z) = 0$$

or

$$H_0(\omega - \pi) G_0(\omega) + H_1(\omega - \pi) G_1(\omega) = 0$$

\*

- Can satisfy  $\textcircled{*}$  by selecting

$$G_0(\omega) = H_1(\omega - \pi)$$

$$G_1(\omega) = -H_0(\omega - \pi)$$

Conditions for removing aliasing term.

- Assume Further That

$$H_0(\omega) = H(\omega)$$

$$H_1(\omega) = H(\omega - \pi)$$

Then prototype filter  $H(\omega)$  is enough To design all 4 filters:

$$H_0(\omega) = H(\omega)$$

$$H_1(\omega) = H(\omega - \pi)$$

$$G_0(\omega) = H(\omega)$$

$$G_1(\omega) = -H(\omega - \pi)$$

} Eqn (1)

How about Perfect reconstruction property?

- Need to make the PR term ~~be~~ be  $z^{-k}$ :

$$\frac{1}{2} [H_0(z)G_0(z) + H_1(z)G_1(z)] = z^{-k}$$

$\Rightarrow$  means output is delayed version of input.

- Assume "one" prototype filter  $H(\omega)$  in Eqn 1

Then:

$$H^2(\omega) - H^2(\omega - \pi) = 2e^{-j\omega k}$$

~~$\Rightarrow$  For PR  $H(\omega)$  must satisfy~~

$$\langle \text{del } |H^2(\omega) - H^2(\omega - \pi)| = \dots \rangle$$

or  $H^2(z) - H^2(-z) = 2z^{-k}$  for some  $k$ .

Assume  $H(\omega)$  is linear phase i.e.

$$H(\omega) = H_r(\omega) e^{-j\omega \frac{(N-1)}{2}} \quad N = \text{filter length.}$$

$$\frac{\hat{X}(\omega)}{X(\omega)} = \left[ |H(\omega)|^2 - (-1)^{N-1} |H(\omega-\pi)|^2 \right] e^{-j\omega(N-1)}$$

$\Rightarrow$  delay of overall filter :  $N-1$ .

Magnitude of overall filter :

$$M(\omega) = |H(\omega)|^2 - (-1)^{N-1} |H(\omega-\pi)|^2$$

$$- N \text{ odd} \Rightarrow M\left(\frac{\pi}{2}\right) = 0 \rightarrow \text{Bad!}$$

$$- N \text{ even} \Rightarrow M(\omega) = |H(\omega)|^2 + |H(\omega-\pi)|^2$$

Ideally we want:  $M(\omega) = \boxed{1 = |H(\omega)|^2 + |H(\omega-\pi)|^2}$

only soln:  $|H(\omega)|^2 = \cos^2 a\omega \rightarrow \text{Trivial}$

$\Rightarrow$  any nontrivial ~~linear~~ linear phase soln  $\rightarrow$  amplitude distortion 5

⇒ Impossible To have: power-complimentary, ~~etc~~

FIR, PR, Linear phase filters

- Settle with Near Perfect Reconstruction.

- solve an optimization problem

- Make  $m(\omega)$  as flat as possible

while minimizing stop band energy of  $H(\omega)$

$$J = \alpha \int_{\omega_s}^{\pi} |H(\omega)|^2 d\omega + (1-\alpha) \int_0^{\pi} (M(\omega) - 1)^2 d\omega$$

- optimize  $J$ . w.r.t To filter taps. subject  
To impulse response being symmetric  
⇒ i.e. linear phase.

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Def: Power complimentary  $|H_0(\omega)|^2 + |H_1(\omega)|^2 = 1$

Consider The Case of 2 Prototype filters

$$G_0(z) = H_1(-z)$$

$$G_1(z) = -H_0(-z)$$

Define  $P_0(z) = H_0(z)G_0(z)$

Then PR Condition becomes:  $z^{-l} P_0(z) - P_0(-z) = 2$

$$l = \text{int.}$$

or

$$z^l P_0(z) - z^l P_0(-z) = 2$$

Define  $P(z) = z^l P_0(z)$

- Can show  $P(z)$  is symmetric polynomial.

- Write  $P(z)$  as:

$$P(z) = 1 + p_1(z + \bar{z}^{-1}) + p_3(z^3 + \bar{z}^{-3}) + p_5(z^5 + \bar{z}^{-5}) + \dots$$

- In Compression, want max # of zeros of

$H_0(z)$  to be at  $z = -1$  or  $\omega = \pi$

-  $z = -1$  also zero of  $P_0(z)$  and  $P(z)$

Write  $P(z)$  as

$$P(z) = (1 + \bar{z}')^m (1 + z)^m R(z)$$

where  $R(z)$  is symmetric polynomial.  $R(z) = R(\bar{z}')$

- Suppose  $R(z)$  is of the form:

$$R(z) = r_0 + \sum_{s=1}^{m-1} r_s (z^s + \bar{z}'^s) \quad \text{Eqn 2}$$

- Suppose  $R(z) = \frac{1}{2}$   $m=1 \Rightarrow$

$$P(z) = \frac{1}{2} (z + 2 + \bar{z}') = \frac{1}{2} z (1 + \bar{z}') (1 + \bar{z}') \\ = z^l H_0(z) G_0(z)$$

choose  $H_0(z) = \frac{1}{\sqrt{2}} (1 + \bar{z}')$

- lowest order  $G_0(z)$  is for  $l=1 \Rightarrow$

$$G_0(z) = \frac{1}{\sqrt{2}} (1 + \bar{z}') \Rightarrow \text{Haar Filters}$$



Suppose  $m=2$  in Eqn 2 and

$$R(z) = az + b + a\bar{z}^{-1}$$

Then

$$P(z) = (1 + \bar{z}^{-1})^2 (1 + z)^2 (az + b + a\bar{z}^{-1})$$

$$= az^3 + (4a + b)z^2 + (7a + 4b)z + (8a + 6b)$$

$$+ (7a + 4b)\bar{z}^{-1} + (4a + b)\bar{z}^{-2} + a\bar{z}^{-3}$$

- Even powers of  $P(z)$  are zero (symmetric)  $\Rightarrow$
- coeff of  $z^0 = 1$

$$4a + b = 0 \quad 8a + 6b = 1 \Rightarrow a = -\frac{1}{16} \quad b = \frac{1}{4}$$

$$\Rightarrow P(z) = \frac{1}{16} z^3 (1 + 2\bar{z}^{-1} + \bar{z}^{-2})^2 (-1 + 4\bar{z}^{-1} - \bar{z}^{-2}) \quad \text{Eqn 3}$$

- Recall  $P(z) = z^l P_0(z) = z^l G_0(z) H_0(z)$ .

How about factorizing  $P(z)$  with  $l=3$ ?

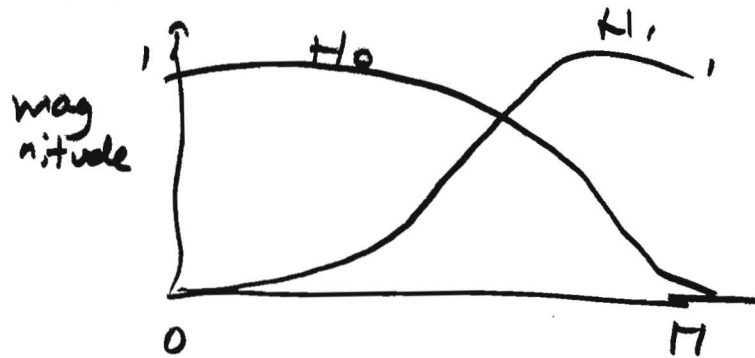
(a):  $H_0(z) = \frac{1}{2} (1 + \frac{1}{2} 2\bar{z}^{-1} + \bar{z}^{-2})$

$$G_0(z) = \frac{1}{8} \frac{(1 + 2\bar{z}^{-1} + \bar{z}^{-2})^2}{(-1 + 4\bar{z}^{-1} - \bar{z}^{-2})} = \frac{1}{8} (-1 + 2\bar{z}^{-1} + 6\bar{z}^{-2} + 2\bar{z}^{-3} - \bar{z}^{-4})$$

Corresponding hi pass filters are

$$H_1(z) = G_0(-z) \quad G_1(z) = -H_0(-z)$$

- Le Gall 3/5 Tap filter pair



(b) Another factorization of Eqn 3 is:

$$H_0(z) = \frac{1}{8} (-1 + 2z^{-1} + 6z^{-2} + 2z^{-3} - z^{-4})$$

$$G_0(z) = \frac{1}{2} (1 + 2z^{-1} + z^{-2})$$

$$H_1(z) = \frac{1}{2} (1 - 2z^{-1} + z^{-2})$$

$$G_1(z) = \frac{1}{8} (1 + 2z^{-1} - 6z^{-2} + 2z^{-3} + z^{-4})$$

Le Gall 5/3 Tap filter.

(c) Another factorization of Eqn 3:  $l=3$

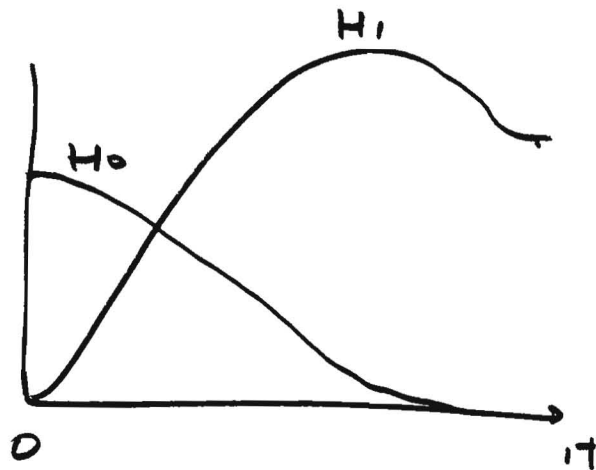
$$H_0(z) = \frac{1}{8} (1 + 3z^{-1} + 3z^{-2} + z^{-3})$$

$$G_0(z) = \frac{1}{2} (-1 + 3z^{-1} + 3z^{-2} - z^{-3})$$

$$H_1(z) = \frac{1}{2} (-1 - 3z^{-1} + 3z^{-2} + z^{-3})$$

$$G_1(z) = \frac{1}{8} (-1 + 3z^{-1} - 3z^{-2} + z^{-3})$$

Called Daubachie 4/4 tap filter pair.



## Orthogonal Filter banks

- What if we require output of filter bank to be orthogonal transformation of input signal?

- So far we have PR, Linear Phase, FIR but non-orthogonal  $\rightarrow$  bi-orthogonal.

- Now we want orthogonal FIR, PR  $\rightarrow$  becomes nonlinear phase!

- Recall  $P(z)$  is symmetric polynomial.  
 $\Rightarrow$  factors of the form  $(\alpha z + 1)(1 + \alpha \bar{z}^{-1})$

$\rightarrow$  One strategy: assign  $(1 + \alpha \bar{z}^{-1})$  to  $H_0(z)$   
 $\bar{z}^{-1}(\alpha z + 1)$  to  $G_0(z)$

$$\Rightarrow G_0(z) = \bar{z}^{-N} H_0(\bar{z}^{-1})$$

If coeff real  $\Rightarrow G_0(\omega) = H_0(-\omega)$   
 $\Rightarrow$  same magnitude response

- Desirable to have filters with as many zeros of  $P(z)$  as possible. at  $z = -1$

Choose  $P(z) = (1+z^{-1})^m (1+z)^m R(z)$

- Possibility:
- Assign all factors of  $P(z)$  having zeros outside unit circle to  $G_0(z)$ .
  - inside " " " " to  $H_0(z)$
  - can show zeros of  $P(z)$  on unit circle have even multiplicity  $\Rightarrow$   $\frac{1}{2}$  go to  $H_0$   
 $\frac{1}{2}$  " "  $H_1$
- $\Rightarrow$   $H_0(z)$  minimum phase filter  
 $G_0(z)$  max phase filter

Ex: Factorize  $P(z)$  from Eqn 3 this way:

The factor  $(1 - 4z^{-1} + z^{-2})$  has a zero inside the unit circle @  $z = 2 - \sqrt{3}$  and another zero outside the unit circle at  $z = 2 + \sqrt{3} \Rightarrow$

⇒ minimum phase spectral factor of  $P(z)$ .

$$H_0(z) = \frac{1}{4(\sqrt{3}-1)} (1+z^{-1})^2 (1-(z-\sqrt{3})z^{-1})$$

$$= 0.48 + 0.83z^{-1} + 0.22z^{-2} - 0.13z^{-3}$$

Max phase spectral factor:

$$G_0(z) = z^3 H_0(z^{-1}) = -0.129 + 0.22z^{-1} + 0.83z^{-2} + 0.48z^{-3}$$

More generally:

Suppose  $H_0(z)$  is FIR filter of order  $N$

Satisfying "power symmetry" condition:

$$H_0(z) H_0(z^{-1}) + H_0(-z) H_0(-z^{-1}) = 1$$

Choose  $H_1(z) = z^N H_0(-z^{-1})$

Then  $H_0(z) H_1(-z) - H_0(-z) H_1(z) = PR \text{ condition} =$

$$= -z^{-N} [H_0(z) H_0(z^{-1}) + H_0(-z) H_0(-z^{-1})] = -z^{-N}$$

Wow!!

PR condition has been satisfied  
with delay of  $-N$  and gain of  $-1$ !

$$\Rightarrow \left. \begin{aligned} H_1(z) &= z^{-N} H_0(-z^{-1}) \\ G_0(z) &= z^{-N} H_0(z^{-1}) \\ G_1(z) &= z^{-N} H_1(z^{-1}) \end{aligned} \right\}$$

$\Rightarrow$  Perfect Recon, Power symmetric  
Filter bank  $\rightarrow$  Also called  
orthogonal Filter bank.

- If  $H_0(z)$  causal FIR  $\Rightarrow$  3 other filters causal
- $|G_i(\omega)| = |H_i(\omega)| \quad i=1,2$
- $|H_1(\omega)| = |H_0(-\omega)|$  ; if real coeff in transfer function  
and  $H_0(z)$  is LPF  $\Rightarrow H_1$  is HPF.

⇒ Conclusion: To design orthogonal Filter bank,  
all we have to do is to design  
power symmetric LPF  $H_0(z)$ .

- Two steps:

- ① Design  $P_0(z) = H_0(z) H_0(z^{-1})$
- ② Spectrally factor  $P_0(z)$  to get  $H_0(z)$ .

Show Figs 7.8 + 7.9 of G+W