

UNIVERSITY OF CALIFORNIA

College of Engineering
Department of Electrical Engineering
and Computer Sciences

Professor Varaiya

Spring 2000

EECS 120
MODULATION

Fourier Transform Defined

$$\begin{aligned} X(\omega) &= \int_{-\infty}^{\infty} x(t)e^{-j\omega t}dt, \quad \omega - \text{rad/sec} \\ x(t) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} X(\omega)e^{j\omega t}d\omega, \quad t - \text{sec} \\ X(f) &= \int_{-\infty}^{\infty} x(t)e^{-j2\pi ft}dt, \quad f - \text{Hz} \\ x(t) &= \int_{-\infty}^{\infty} X(f)e^{j2\pi ft}df, \quad t - \text{sec} \end{aligned}$$

Important Fourier Transforms

$x(t) \equiv 1$	$X(\omega) = 2\pi\delta(\omega)$	$X(f) = \delta(f)$
$x(t) = \delta(t)$	$X(\omega) \equiv 1$	$X(f) \equiv 1$
$x(t) = \text{sgn}(t)$	$X(\omega) = \frac{2}{j\omega}$	$X(f) = \frac{1}{j\pi f}$
$x(t) = u(t)$	$X(\omega) = \frac{1}{j\omega} + \pi\delta(\omega)$	$X(f) = \frac{1}{j2\pi f} + \frac{1}{2}\delta(f)$
$x(t) = \sum_{k=-\infty}^{\infty} \delta(t - kT_0)$	$X(\omega) = \frac{2\pi}{T_0} \sum \delta(\omega - k\omega_0)$	$X(f) = \frac{1}{T_0} \sum \delta(f - kf_0)$
$x(t) = \sum X_n e^{jn\omega_0 t}$	$X(\omega) = 2\pi \sum X_n \delta(\omega - n\omega_0)$	$X(f) = \sum X_n \delta(f - nf_0)$

Fourier Transform Properties

	Signal x, y	FT(f) $X(f), Y(f)$	FT(ω) $X(\omega), Y(\omega)$
Linearity	$\alpha x + \beta y$	$\alpha X + \beta Y$	$\alpha X + \beta Y$
Delay	$D_\tau x$	$X(f)e^{-j2\pi f\tau}$	$X(\omega)e^{-j\omega\tau}$
Flip	$x(-t)$	$X(-f)$	$X(-\omega)$
Conjugate	x^*	$X^*(-f)$	$X^*(-\omega)$
Modulation	$e^{j2\pi f_0 t}x(t)$	$X(f-f_0)$	$X(\omega - \omega_0), \omega_0 = 2\pi$
Time scale	$x(at)$	$\frac{1}{ a }X\left(\frac{f}{a}\right)$	$\frac{1}{ a }X\left(\frac{\omega}{a}\right)$
Convolution	$x * y$	$X(f)Y(f)$	$X(\omega)Y(\omega)$
Multiply	$x(t)y(t)$	$(X * Y)(f)$	$\frac{1}{2\pi}(X * Y)(\omega)$
Differentiate	\dot{x}	$(j2\pi f)X(f)$	$(j\omega)X(\omega)$
Integrate	$\int_{-\infty}^t x(s)ds = u * x(t)$	$\frac{1}{j2\pi f}X(f) + \frac{1}{2}X(0)\delta(f)$	$\frac{1}{j\omega}X(\omega + \pi X/0)\delta$
Duality	$x(t)$ $X(t)$	\Leftrightarrow \Leftrightarrow	$X(f)$ $x(-f)$
Real	$x(t)$ real	$X(f) = X(-f)^*$	$X(\omega) = X(-\omega)^*$
Real, Even	$x(t) = x(-t)$, real	$X(f) = X(f)$, real	$X(\omega) = X(-\omega)$, real
Real, Odd	$x(t) = -x(-t)$, real	$X(f) = -X(-f)$, imag	$X(\omega) = -X(-\omega)$, imag

Hilbert Transform: $x \in$ Cont Signals $\rightarrow \hat{x} \in$ Cont Signals

$$\hat{x} = x * \left\{ \frac{1}{\pi t} \right\}$$

$$\hat{X}(\omega) = X(\omega) \cdot \text{FT} \left\{ \frac{1}{\pi t} \right\} = -j \operatorname{sgn}(\omega) \cdot X(\omega)$$

$$\hat{X}(f) = X(f) \cdot \text{FT} \left\{ \frac{1}{\pi t} \right\} = -j \operatorname{sgn}(f) \cdot X(f)$$

$$\text{Let } z = x + j\hat{x}$$

$$Z(\omega) = X(\omega) + j\hat{X}(\omega) = 2X(\omega)u(\omega)$$

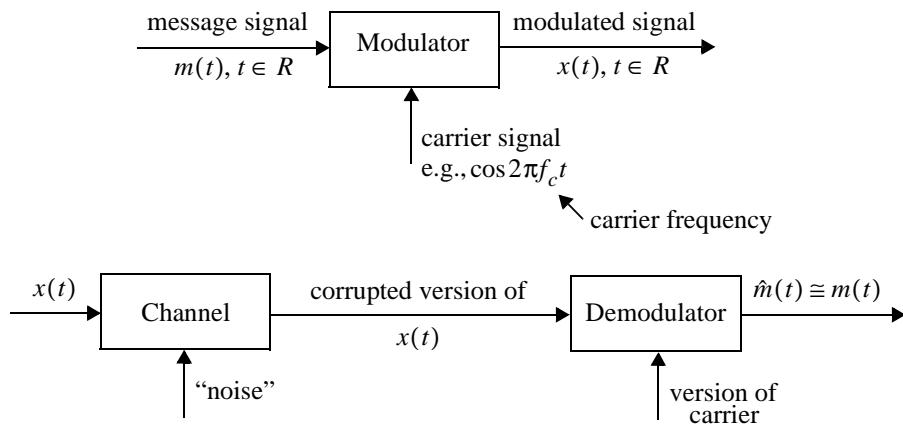
$$Z(f) = X(f) + j\hat{X}(f) = 2X(f)u(f)$$

Let $w = x - j\hat{x}$

$$W(\omega) = X(\omega) - j\hat{X}(\omega) = 2X(\omega)u(-\omega)$$

$$W(f) = X(f) - j\hat{X}(f) = 2X(f)u(-f)$$

1. What is modulation?



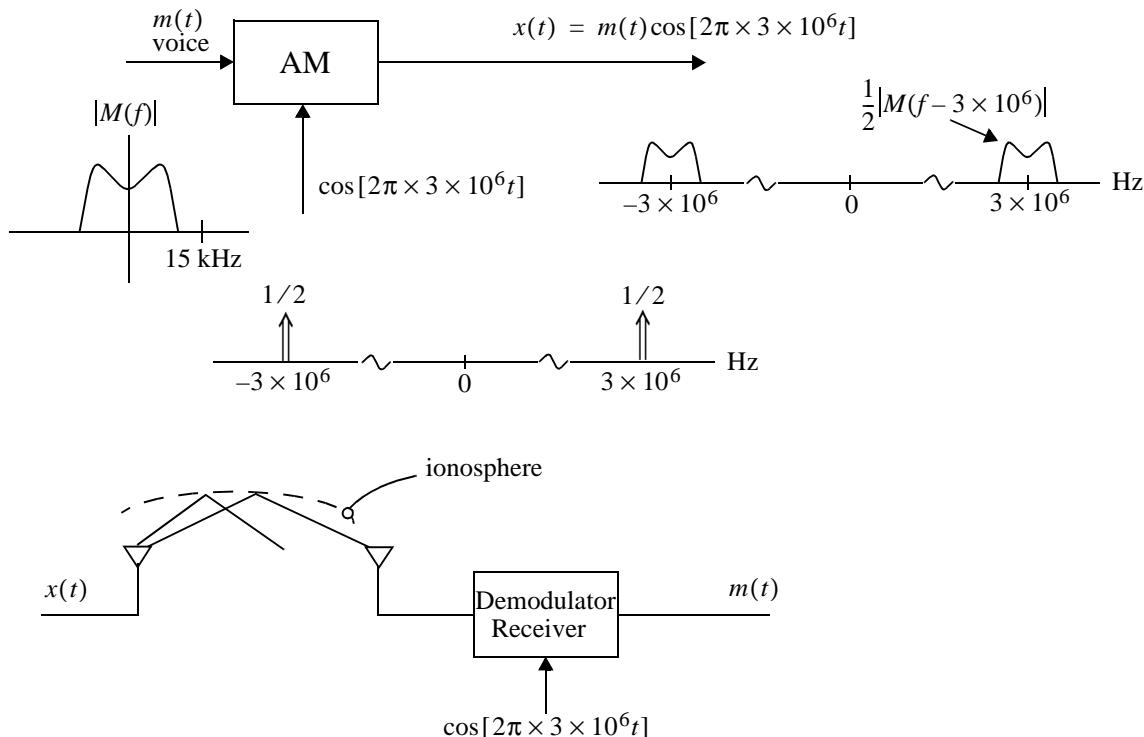
A modulator is a system:

$$[H(m(t))](t) = x(t)$$

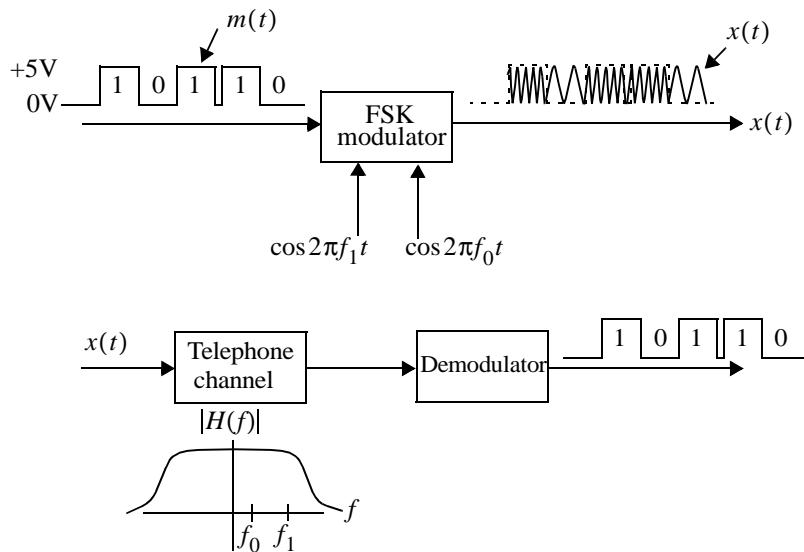
↑
message signal ↑
modulated signal

H is usually time-varying; may be linear (AM) or non-linear (FM)

Example 1 – (Shortwave broadcast) AM

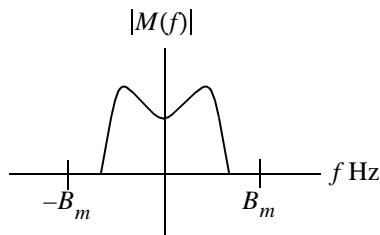


Example 2 – “Cheap” modem

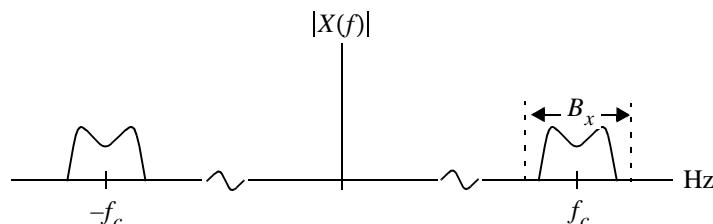


2. Assumptions

- A. $m(t) \leftrightarrow M(f)$. Assume m is **bandlimited** to $|f| < B_m$ Hz. Also, $|m(t)| \leq 1$, all t .



- B. $x(t) \leftrightarrow X(f)$. Assume x is **bandpass** signal centered around f_c and with bandwidth B_x .

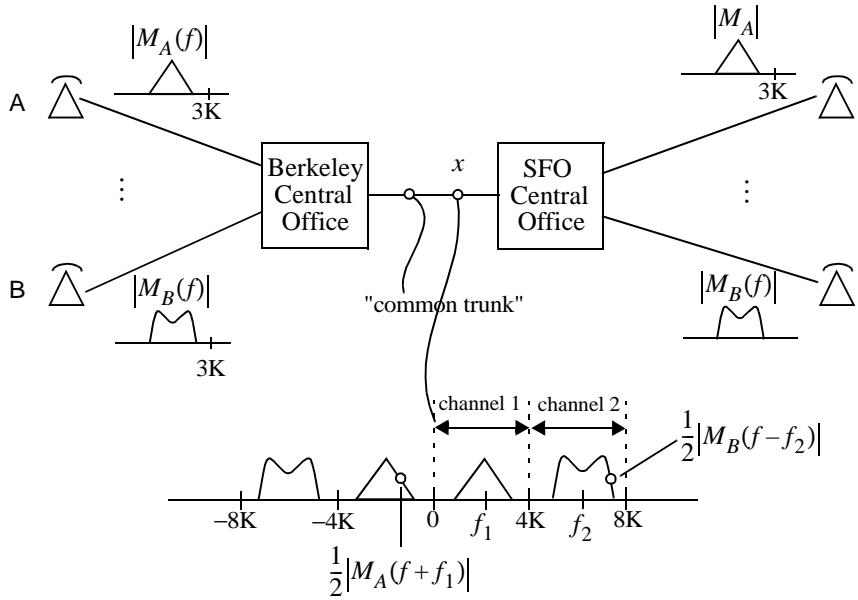


- C. $B_x \ll f_c$. We say that x is a **narrowband** signal.

3. Why modulation?

- A. *Propagation.* It may not be possible to transmit a **baseband** signal.

B. Channel sharing

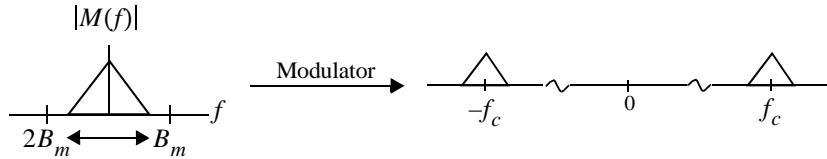


C. Noise immunity: FM better than AM. Go to EECS 121.

4. Linear Modulation (Modulation is a linear system) (but not time invariant!)

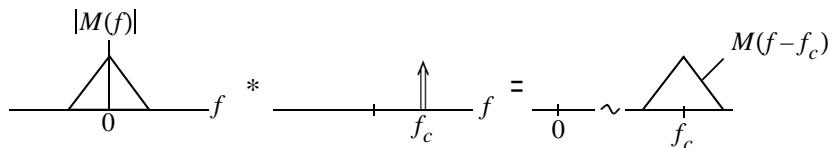
A. Double side band AM (DSB-AM)

Objective

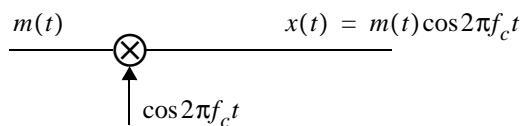


Idea

$$\begin{aligned} m(t) \cdot e^{j2\pi f_c t} &\Leftrightarrow \mathcal{J}[m] * \mathcal{J}[e^{j2\pi f_c t}] \\ &= M(f) * \delta(f-f_c) = M(f-f_c) \end{aligned}$$



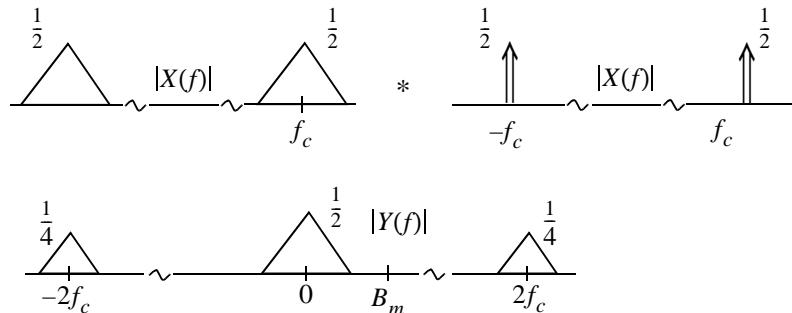
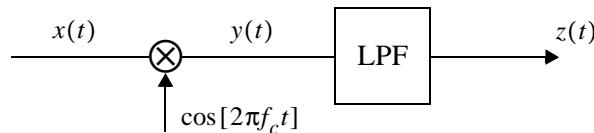
Better idea



$$\begin{aligned} \frac{1}{2}|M(f)| * \frac{1}{2}\delta(f-f_c) \sim \frac{1}{2}\delta(f-f_c) &= \frac{1}{2}|X(f)| \sim \frac{1}{2}\delta(f-f_c) \\ X(f) = \frac{1}{2}\{M(f-f_c) + M(f+f_c)\} &= 2B_m \end{aligned}$$

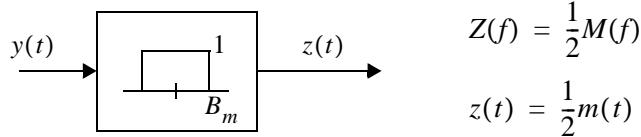
Note, $B_x \ll f_c$.

Demodulation



$$\begin{aligned} Y(f) &= X(f) * \frac{1}{2}[\delta(f-f_c) + \delta(f+f_c)] \\ &= \frac{1}{2}M(f) + \frac{1}{4}\{M(f-2f_c) + M(f+2f_c)\} \end{aligned}$$

$$\begin{aligned} y(t) &= x(t)\cos 2\pi f_c t = m(t)(\cos 2\pi f_c t)^2 \\ &= \frac{1}{2}m(t) + \frac{1}{2}m(t)\cos 4\pi f_c t \end{aligned}$$



Difficulty A

Demodulation must have local oscillator (at f_c) where phase is “locked” to carrier. This requires a phase-locked loop: Suppose there is a phase difference:

$$y(t) = x(t) \cdot \cos[2\pi f_c t + \theta],$$

then

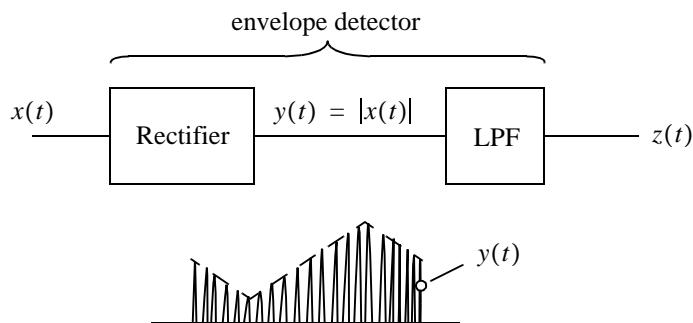
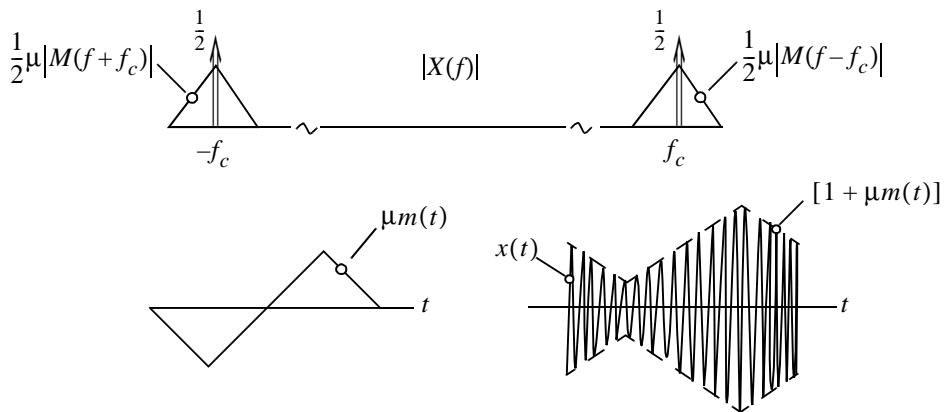
$$z(t) = \left[\frac{1}{2} \cos \theta \right] \cdot m(t)$$

↑
can drift!!!

Cheap Solution: DSB with large carrier (DSB-LC)

Suppose $|m(t)| \leq 1$. Let $\mu < 1$ (≈ 0.8) **modulation index**. Let

$$\begin{aligned}x(t) &= [1 + \mu m(t)] \cos(2\pi f_c t) \\&= \cos 2\pi f_c t + \mu m(t) \cos 2\pi f_c t\end{aligned}$$



$x(t)$ is high frequency (f_c) sinusoid whose “envelope” is $[1 + \mu m(t)]$.

$z(t) \equiv [1 + \mu m(t)]$ is the “envelope.”

Exercise: Determine $Y(f)$, $Z(f)$ and show the envelope detector works.

$$\text{Drawback: } P_x = \frac{1}{2} P_{\text{carrier}} + \frac{1}{2} \mu^2 P_m$$

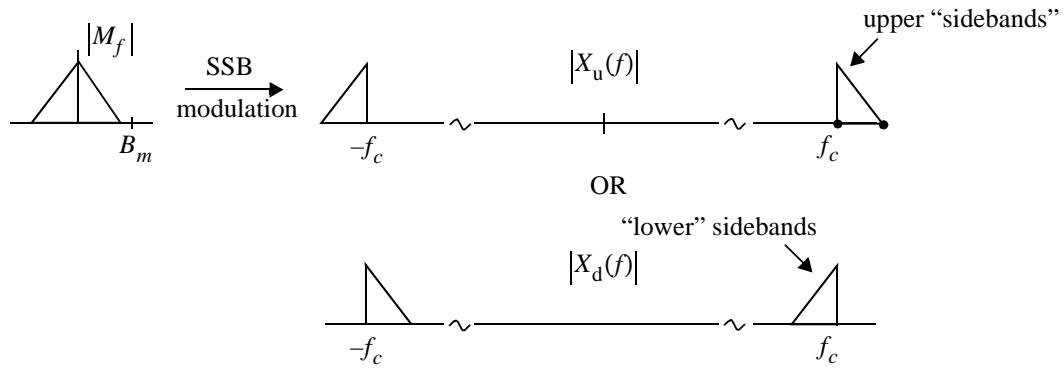
P_{carrier} P_m

$$\text{Efficiency} = \frac{P_{\text{signal}}}{P_x} = \frac{\mu^2 P_m}{1 + \mu^2 P_m} < 50\%$$

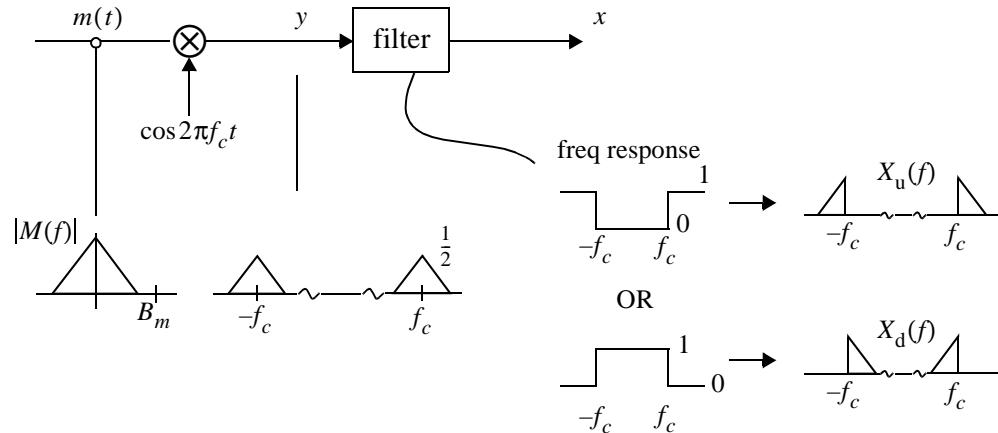
Difficulty B

DSB and DSB-LC consume bandwidth $B_x = 2B_m$, i.e., twice message bandwidth.

Idea: Make $B_x \approx B_m$ by:



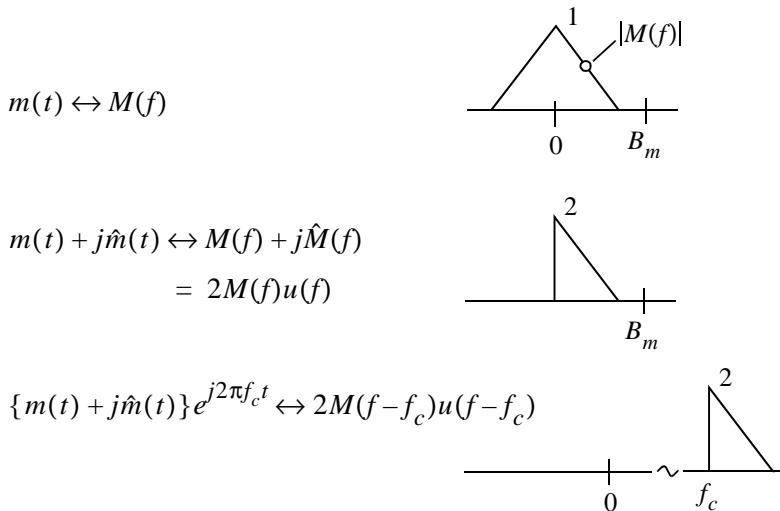
Scheme 1



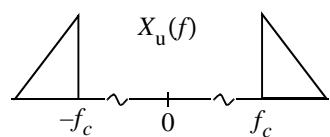
Scheme 1 requires filters with sharp cut-off.

Scheme 2 – Phase Shift Method

Idea – Review Hilbert Transform



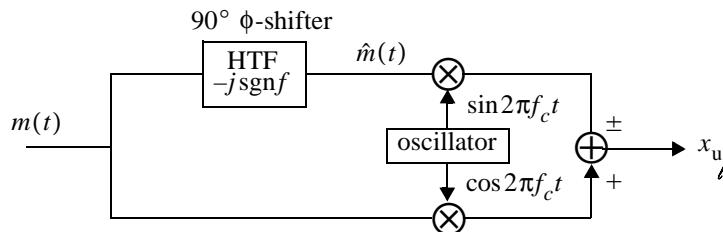
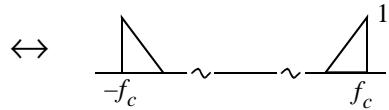
$$\begin{aligned} x_u(t) &:= \operatorname{Re}[m((t) + j\hat{m}(t))e^{j2\pi f_c t}] \\ &= M(f-f_c)u(f-f_c) + M(-f-f_c)u(-f-f_c) \end{aligned}$$



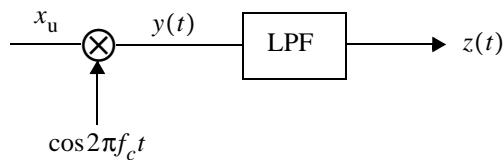
$$\begin{aligned}x_u(t) &= \operatorname{Re}[m(t) + j\hat{m}(t)e^{j2\pi f_c t}] \\&= m(t)\cos 2\pi f_c t - \hat{m}(t)\sin 2\pi f_c t\end{aligned}$$

Similarly:

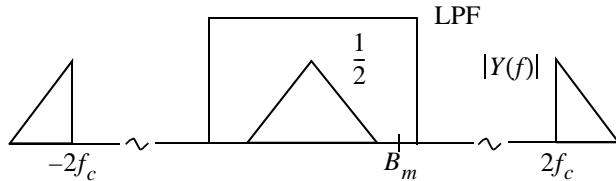
$$x_d(t) = m(t)\cos 2\pi f_c t + \hat{m}(t)\sin 2\pi f_c t$$



SSB-U Demodulator



$$\begin{aligned}x_u(t) &= m(t)\cos 2\pi f_c t - \hat{m}(t)\sin 2\pi f_c t \\y(t) &= \frac{1}{2}m(t) + \frac{1}{2}m(t)\cos 4\pi f_c t - \frac{1}{2}\hat{m}(t)\sin 4\pi f_c t \\z(t) &= \frac{1}{2}m(t)\end{aligned}$$

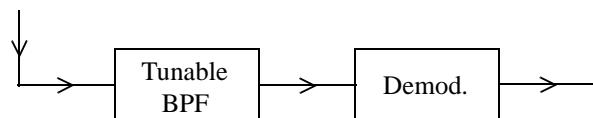


AM Receiver: Superheterodyne

$$\text{AM band: } \begin{cases} 550 - 1600 \text{ kHz} \\ 10 \text{ kHz} = \text{Maximum frequency} \end{cases}$$

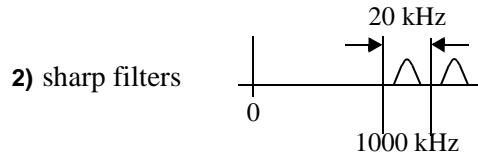
AM Receiver:

In principle,

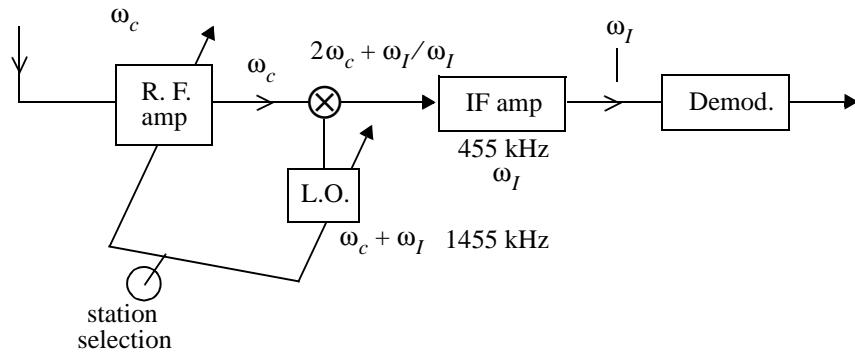


Difficulties:

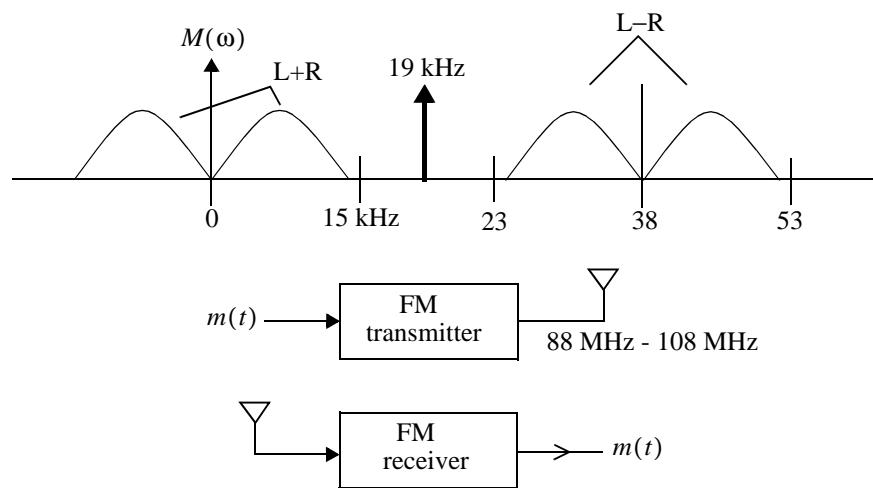
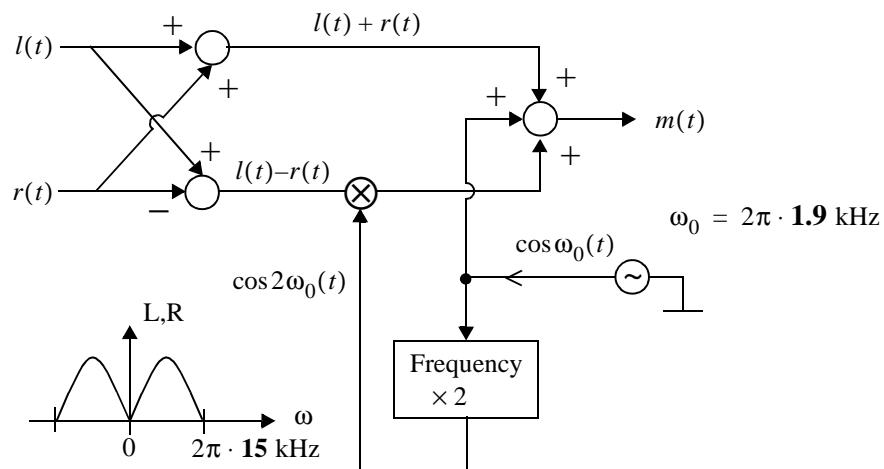
- 1) large gain

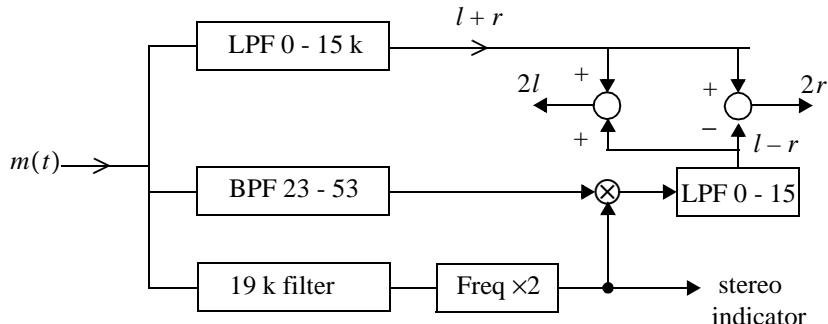


Solution: ($\omega_c + 2\omega_I$: image) Let $\omega_c = 2\pi$ (1000 kHz)



Stereo





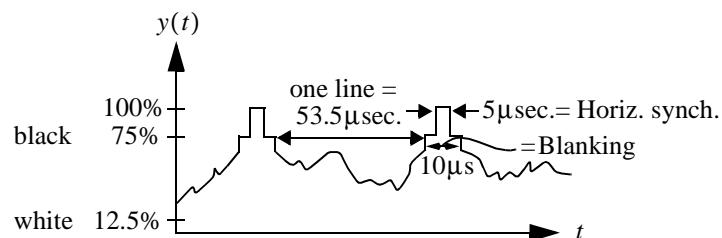
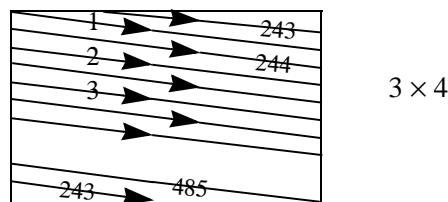
Note:

- compatible
(stereo on mono receiver \Rightarrow mono reception)
- reverse compatible
(mono on stereo receiver \Rightarrow mono reception)

TV

BW: 485 lines in 2 fields $+ 2 \times 20$ line durations (vertical retrace)

$$\times 30/\text{sec.} \Rightarrow \text{line frequency: } f_2 = 15750 \text{ lines/sec}$$



Bandwidth:

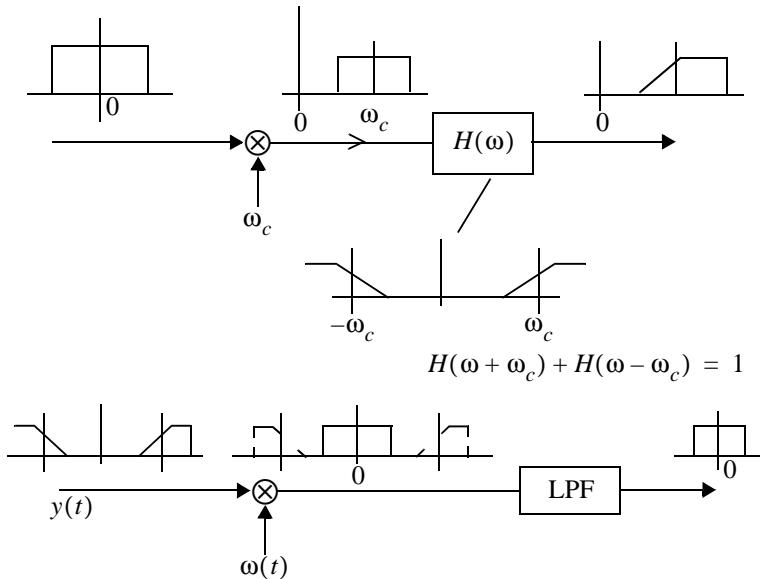
$$525 \times 525 \times \frac{4}{3} \times 30 \text{ points/sec.}$$

worst case: BWBW ...

$$\Rightarrow \frac{1}{2} 525 \times 525 \times \frac{4}{3} \times 30 = 5.5 \text{ MHz}$$

In practice: 4.2 MHz

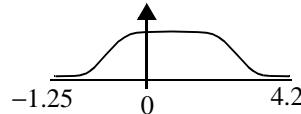
VSB (Vestigial Side Band)



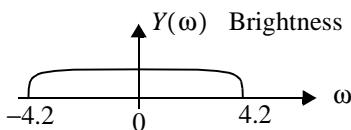
Envelope Detection: (with residual carrier)

$$\begin{aligned} y(t) &= k \cos \omega_c t + \underbrace{\text{SSB}}_{\text{VSB}} - g(t) \sin \omega_c t \\ &= (k + m(t)) \cos \omega_c t - (\hat{m}(t) + g(t)) \sin 2\pi f_0 \end{aligned}$$

Envelope for k large $\approx k + m(t)$, e.g., TV:



Thus:

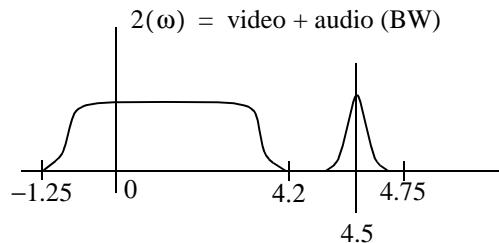


Also: Sound = 10 kHz max.

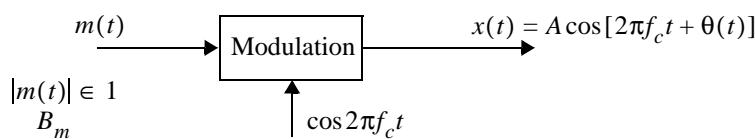
$$B \approx 80 \text{ kHz}$$

FM

Modulation: $(z(t))$



Exponential Modulation



A. Phase Modulation

$$\theta(t) = \phi_{\Delta} \cdot m(t)$$

phase deviation constant

$$x(t) = A \cos[2\pi f_c t + \phi_{\Delta} \cdot m(t)]$$

$$[\left| \phi_{\Delta} \right| \leq \pi]$$

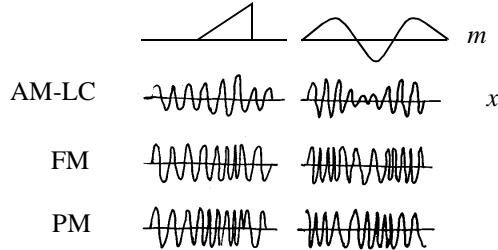
B. Frequency Modulation

$$\theta(t) = 2\pi f_{\Delta} \int_0^t m(s) ds$$

frequency deviation constant

or $\dot{\theta}(t) = 2\pi f_{\Delta} \cdot m(t)$

$$x(t) = A \cos \left[2\pi f_c t + 2\pi f_{\Delta} \int_0^t m(s) ds \right]$$



Narrowband PM/FM

$$x(t) = \cos[2\pi f_c t + \theta(t)] = \operatorname{Re}\{e^{j[2\pi f_c t + \theta(t)]}\}$$

Assume $|\theta(t)| \ll 1$, so

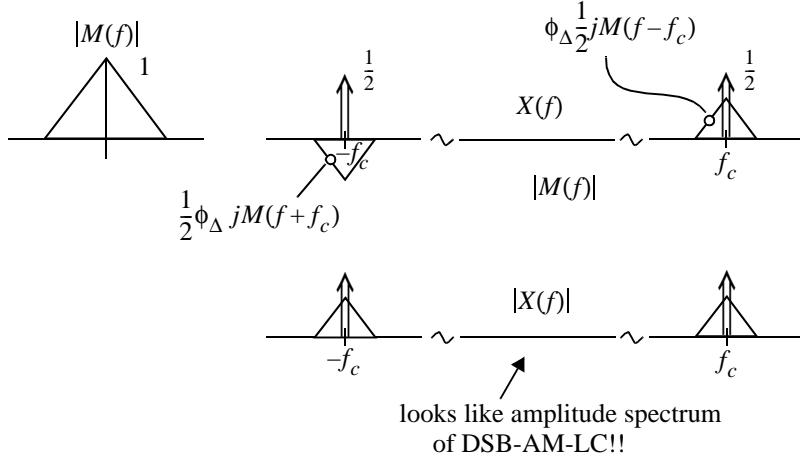
$$\begin{aligned} e^{j\theta(t)} &= 1 + j\theta(t) + \underbrace{\frac{j^2}{2!} \theta^2(t) + \frac{j^3}{3!} \theta^3(t) + \dots}_{\text{neglect}} \\ &\cong 1 + j\theta(t) \end{aligned}$$

So

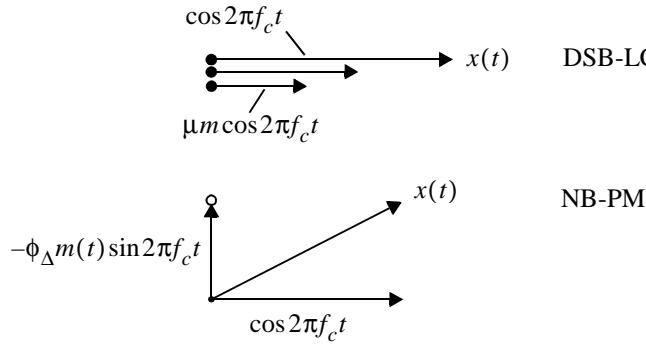
$$\begin{aligned} x(t) &\cong \operatorname{Re}\{[1 + j\theta(t)] e^{j2\pi f_c t}\} \\ &= \cos 2\pi f_c t - \theta(t) \sin 2\pi f_c t \end{aligned}$$

NB-PM: $\theta(t) = \phi_{\Delta} m(t)$. So,

$$\begin{aligned}
x(t) &= \cos 2\pi f_c t - \phi_\Delta m(t) \sin 2\pi f_c t \\
X(f) &= \frac{1}{2} \{ \delta(f-f_c) + \delta(f+f_c) \} - \phi_\Delta \cdot \frac{1}{2j} \{ M(f-f_c) - M(f+f_c) \} \\
&= \frac{1}{2} \{ \delta(f-f_c) + \delta(f+f_c) \} + \frac{1}{2} \phi_\Delta \cdot j \{ M(f-f_c) - M(f+f_c) \}
\end{aligned}$$



Time domain comparison between DSB-LC and narrowband PM:



[Amplitude of phasor in NB-PM case is $(1 + \phi_\Delta^2 m(t)^2)^{1/2} \approx (1 + \theta^2(t))^{1/2} \approx 1$!]

NB-FM

$$\begin{aligned}
&|\theta(t)| \ll 1 \\
x(t) &= \cos[2\pi f_c t + \theta(t)] \equiv \cos 2\pi f_c t - \theta(t) \sin 2\pi f_c t
\end{aligned} \tag{1}$$

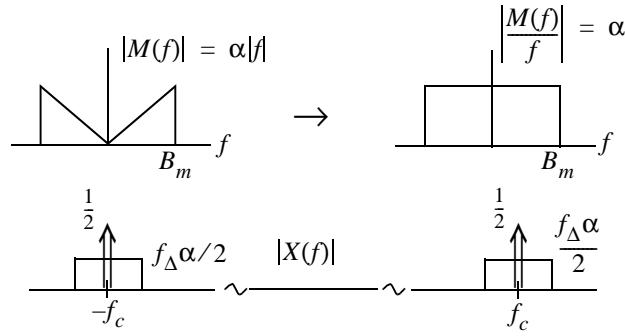
$$\theta(t) = 2\pi f_\Delta \cdot \int_0^t m(s) ds \tag{2}$$

$[\lvert \theta(t) \rvert \ll 1 \Rightarrow m \text{ has no dc component, } M(f) \big|_{f=0} = 0]$

$$(1) \Rightarrow X(f) = \frac{1}{2} \{ \delta(f-f_c) + \delta(f+f_c) \} - \frac{1}{2j} \{ \Theta(f-f_c) - \Theta(f+f_c) \}$$

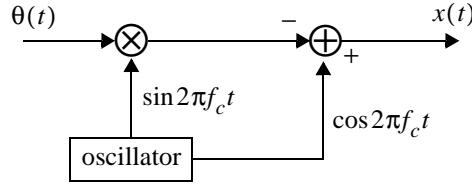
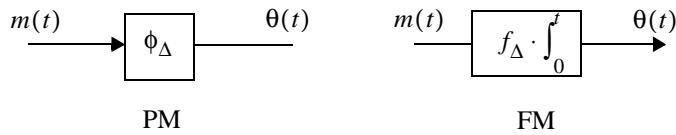
$$(2) \Rightarrow \Theta(f) = 2\pi f_\Delta \cdot \frac{M(f)}{j2\pi f} = -j f_\Delta \cdot \frac{M(f)}{f}$$

$$\text{So, } X(f) = \frac{1}{2}\{\delta(f-f_c) + \delta(f+f_c)\} + \frac{f_\Delta}{2} \left\{ \frac{M(f-f_c)}{f-f_c} - \frac{M(f+f_c)}{f+f_c} \right\}$$



NB-Modulation

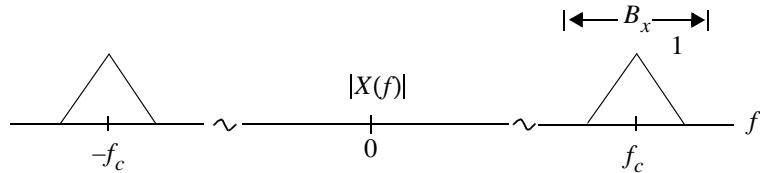
$$x(t) = \cos 2\pi f_c t - \theta(t) \sin 2\pi f_c t$$



NB-FM-Demodulator

First, do representation of NB signals.

Definition: $x(t)$, $t \in R$, is a real NB signal with carrier f_c if $|X(f)| = 0$ for $|f-f_c| > \frac{1}{2}B_x$ and $B_x \ll f_c$:



Theorem: Let x be a real NB signal with carrier f_c . Let $\hat{x} = \text{HT of } x$. Then x, \hat{x} have the representation

$$x(t) = A(t) \cos[2\pi f_c t + \theta(t)]$$

$$\hat{x}(t) = A(t) \sin[2\pi f_c t + \theta(t)]$$

where A, θ vary slowly compared with f_c . Let

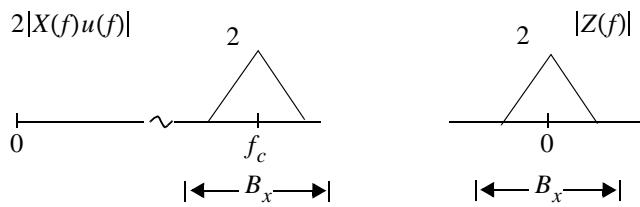
$$z(t) := [x(t) + j\hat{x}(t)] e^{-j2\pi f_c t}$$

then $z(t) = A(t) e^{j\theta(t)}$ and $|Z(f)| = 0$, $|f| > \frac{1}{2}B_x$.

Proof

$$x(t) + j\hat{x}(t) \leftrightarrow X(f) + j\hat{X}(f) = 2X(f)u(f)$$

$$z(t) \leftrightarrow Z(f) = 2X(f + f_c)u(f + f_c)$$

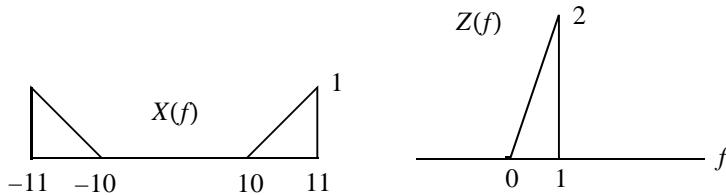


Suppose $z(t) = A(t)e^{j\theta(t)}$, then A, θ vary slowly. Also

$$x(t) = Re[z(t) \cdot e^{j2\pi f_c t}] = A(t)\cos[2\pi f_c t + \theta(t)]$$

$$\hat{x}(t) = Im[z(t) \cdot e^{j2\pi f_c t}] = A(t)\sin[2\pi f_c t + \theta(t)]$$

Example



$$f_c = 10, B_x = 2$$

$$\begin{aligned} z(t) &= f^{-1}[Z] = \int_{-\infty}^{\infty} Z(f) e^{j2\pi ft} df = 2 \int_0^1 f e^{j2\pi ft} df \\ &= \dots = \frac{1}{\pi t^2} \{ e^{j2\pi t} (1 - 2\pi jt) - 1 \} \\ &= \frac{1}{\pi t^2} \{ (\cos 2\pi t + 2\pi t \sin 2\pi t - 1) + j(\sin 2\pi t - 2\pi t \cos 2\pi t) \} \end{aligned}$$

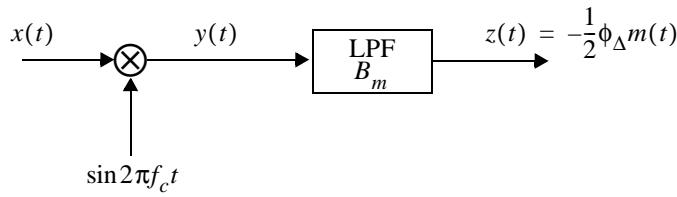
$$A(t) = \frac{1}{\pi t^2} [(\cos 2\pi t + 2\pi t \sin 2\pi t - 1)^2 + (\sin 2\pi t - 2\pi t \cos 2\pi t)^2]^{1/2}$$

$$\theta(t) = \tan^{-1} \frac{\sin 2\pi t - 2\pi t \cos 2\pi t}{\cos 2\pi t + 2\pi t \sin 2\pi t - 1}$$

$$x(t) = A(t) \cos[20\pi t + \theta(t)]$$

$$\hat{x}(t) = A(t) \sin[20\pi t + \theta(t)]$$

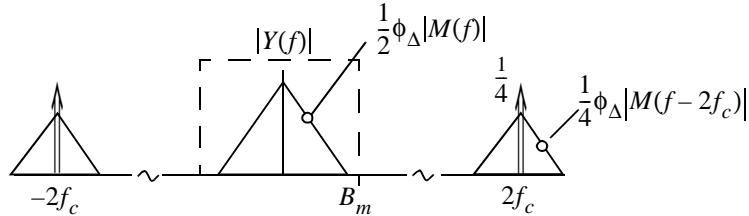
Demodulating NB-PM



$$x(t) = \cos 2\pi f_c t - \phi_\Delta m(t) \sin 2\pi f_c t$$

$$\begin{aligned} y(t) &= \cos 2\pi f_c t \cdot \sin 2\pi f_c t - \phi_\Delta m(t) [\sin 2\pi f_c t]^2 \\ &= -\frac{1}{2} \phi_\Delta m(t) + \frac{1}{2} \sin 4\pi f_c t + \frac{1}{2} \phi_\Delta m(t) \cos 4\pi f_c t \\ \text{where } -\frac{1}{2} \phi_\Delta m(t) &= z(t) \end{aligned}$$

$$\begin{aligned} Y(f) &= -\frac{1}{2} \phi_\Delta M(f) + \frac{1}{4j} \{ \delta(f - 2f_c) - \delta(f + 2f_c) \} \\ &\quad + \frac{1}{4} \phi_\Delta \{ M(f - 2f_c) + M(f + 2f_c) \} \end{aligned}$$



“Wideband” PM/FM

$$\text{Suppose } m(t) = \begin{cases} A_m \sin 2\pi f_m t & \text{PM} \\ A_m \cos 2\pi f_m t & \text{FM} \end{cases}$$

$$\text{Then } \theta(t) = \begin{cases} A_m \phi_\Delta \sin 2\pi f_m t & \text{PM} \\ A_m \frac{f_\Delta}{f_m} \sin 2\pi f_m t & \text{FM} \end{cases}$$

“index of modulation” =: $\beta \sin 2\pi f_m t$

$$\begin{aligned} x(t) &= \cos [2\pi f_c t + \beta \sin 2\pi f_m t] \\ &= \underbrace{\cos(2\pi f_c t) \cos(\beta \sin 2\pi f_m t)}_{\text{periodic with period } f_m^{-1}} - \underbrace{\sin(2\pi f_c t) \sin(\beta \sin 2\pi f_m t)}_{\text{periodic with period } f_m^{-1}} \end{aligned}$$

$$\cos(\beta \sin 2\pi f_m t) = J_0(\beta) + \sum_{\substack{n > 0 \\ n \text{ even}}} 2J_n(\beta) \cos n 2\pi f_m t$$

$$= a_0 + \sum_n a_n \cos n 2\pi f_m t$$

$$\sin(\beta \sin 2\pi f_m t) = \sum_{\substack{n > 0 \\ n \text{ odd}}} 2J_n(\beta) \cos n 2\pi f_m t$$

$$= \sum_n b_n \sin n 2\pi f_m t$$

Exercise

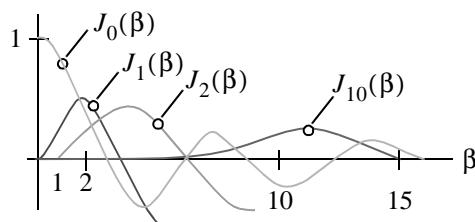
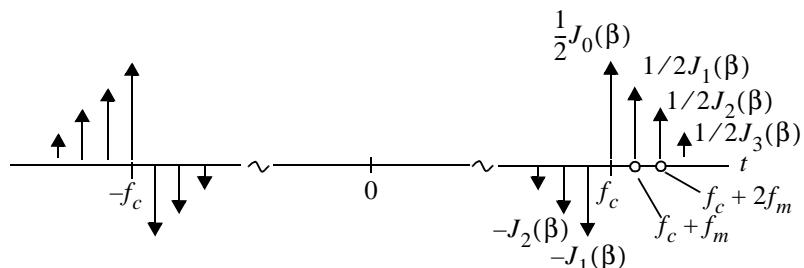
$$J_n(\beta) = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{j[\beta \sin \lambda - n\lambda]} dx$$

So for pure tone message signal:

$$x(t) = J_0(\beta) \cos 2\pi f_c t$$

$$+ \sum_{\substack{n > 0 \\ n \text{ even}}} J_n(\beta) \{ \cos [2\pi(f_c + nf_m)t] + \cos [2\pi(f_c - nf_m)t] \}$$

$$+ \sum_{\substack{n > 0 \\ n \text{ odd}}} J_n(\beta) \{ \cos ([2\pi(f_c + nf_m)t] - \cos [2\pi(f_c - nf_m)t]) \}$$



Approximate B_x

For FM, define $D = \frac{f_\Delta}{B_m}$ derivative ratio

For PM, define $D = \phi_\Delta$

(Note: For pure tone $B_m = f_m$, $D = \beta$)

Carson's Rule: If $D \ll 1$ or $D \gg 1$, then

$$B_x \approx 2(D + 1)B_m$$

Example – For commercial FM broadcast

$$B_m = 15 \text{ kHz}, \quad f_\Delta = 75 \text{ kHz}, \quad D = f_\Delta/B_m = 5$$

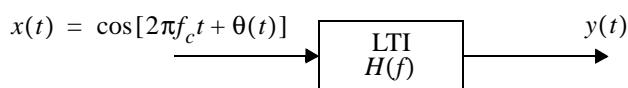
So Carson's rule gives

$$B_x = 2 \times 6 \times 15 = 180 \text{ kHz}$$

Actually $B_x \approx 240 \text{ kHz}$

Compare B_x for FM vs. AM!!!

FM-Demodulator



Suppose $H(f) = a + b2\pi|f| = a + b(j2\pi f)(-j\operatorname{sgn} f)$

$$\begin{aligned} Y(f) &= H(f)X(f) = aX(f) + b(j2\pi f)(-j\operatorname{sgn} f)(X(f)) \\ y(t) &= ax(t) + b \frac{d}{dt}(\hat{x}(t)) \\ &= a \cos[2\pi f_c t + \theta(t)] + b \frac{d}{dt} \{ \sin(2\pi f_c t + \theta(t)) \} \\ &= [a + b2\pi f_c + b\dot{\theta}(t)] \cos[2\pi f_c t + \theta(t)] \\ &\text{where } \dot{\theta}(t) = f_\Delta m(t) \end{aligned}$$

$a + b2\pi f_c + bf_\Delta m(t)$ obtained by envelope detection, then suppress dc to get $bf_\Delta m(t)$:

