

## 1 Readings

Liboff, Introductory Quantum Mechanics, Ch. 11

## 2 Spin Operators

Last time:  $|0\rangle = |\uparrow\rangle = |+\frac{1}{2}\rangle =$  state representing ang. mom. w/ z-comp. up  
 $|1\rangle = |\downarrow\rangle = |-\frac{1}{2}\rangle =$  state representing ang. mom. w/ z-comp. down

These are the eigenvectors and eigenvalues of the spin for a spin- $\frac{1}{2}$  system, like an electron or proton:  
 $|0\rangle$  and  $|1\rangle$  are simultaneous eigenvectors of  $S^2$  and  $S_z$ .

$$\begin{aligned} S^2|0\rangle &= \hbar^2 s(s+1)|0\rangle = \hbar^2 \frac{1}{2}(\frac{1}{2}+1)|0\rangle = \frac{3}{4}\hbar^2|0\rangle \\ S^2|1\rangle &= \hbar^2 s(s+1)|1\rangle = \frac{3}{4}\hbar^2|1\rangle \\ S_z|0\rangle &= \hbar m|0\rangle = \frac{1}{2}\hbar|0\rangle, m = +\frac{1}{2} \\ S_z|1\rangle &= \hbar m|1\rangle = -\frac{1}{2}\hbar|1\rangle, m = -\frac{1}{2} \end{aligned}$$

Results of measurements:

$$S^2 \rightarrow \frac{3}{4}\hbar^2, S_z \rightarrow +\frac{\hbar}{2}, -\frac{\hbar}{2}$$

Since  $S_z$  is a Hamiltonian operator,  $|0\rangle$  and  $|1\rangle$  form an orthonormal basis that spans the spin- $\frac{1}{2}$  space, which is isomorphic to  $\mathcal{C}^2$ .

So the most general spin  $\frac{1}{2}$  state is  $|\Psi\rangle = \alpha|0\rangle + \beta|1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$ .

Question: How do we represent the spin operators ( $S^2, S_x, S_y, S_z$ ) in the 2-d basis of the  $S_z$  eigenstates  $|0\rangle$  and  $|1\rangle$ ?

Answer: They are matrices. Since they act on a two-dimensional vectors space, they must be 2-d matrices. We must calculate their matrix elements:

$$S^2 = \begin{pmatrix} s_{11}^2 & s_{12}^2 \\ s_{21}^2 & s_{22}^2 \end{pmatrix}, S_z = \begin{pmatrix} s_{z11} & s_{z12} \\ s_{z21} & s_{z22} \end{pmatrix}, S_x = \begin{pmatrix} s_{x11} & s_{x12} \\ s_{x21} & s_{x22} \end{pmatrix}, \text{ etc. } (S_y)$$

Calculate  $S^2$  matrix: We must sandwich  $S^2$  between all possible combinations of basis vectors. (This is the usual way to construct a matrix!)

$$s_{11}^2 = \langle 0 | S^2 | 0 \rangle = \langle 0 | \frac{3}{4} \hbar^2 | 0 \rangle = \frac{3}{4} \hbar^2$$

$$s_{12}^2 = \langle 0 | S^2 | 1 \rangle = \langle 0 | \frac{3}{4} \hbar^2 | 1 \rangle = 0$$

$$s_{21}^2 = \langle 1 | S^2 | 0 \rangle = \langle 1 | \frac{3}{4} \hbar^2 | 0 \rangle = 0$$

$$s_{22}^2 = \langle 1 | S^2 | 1 \rangle = \langle 1 | \frac{3}{4} \hbar^2 | 1 \rangle = \frac{3}{4} \hbar^2$$

$$\text{So } S^2 = \frac{3}{4} \hbar^2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Find the  $S_z$  matrix:

$$s_{z11}^2 = \langle 0 | S_z | 0 \rangle = \langle 0 | +\frac{\hbar}{2} | 0 \rangle = \frac{\hbar}{2}$$

$$s_{z12}^2 = \langle 0 | S_z | 1 \rangle = \langle 0 | -\frac{\hbar}{2} | 1 \rangle = 0$$

$$s_{z21}^2 = \langle 1 | S_z | 0 \rangle = \langle 1 | +\frac{\hbar}{2} | 0 \rangle = 0$$

$$s_{z22}^2 = \langle 1 | S_z | 1 \rangle = \langle 1 | -\frac{\hbar}{2} | 1 \rangle = -\frac{\hbar}{2}$$

$$\text{So } S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Find  $S_x$  matrix: This is more difficult

What is  $S_{x11} = \langle 0 | S_x | 0 \rangle$ ?  $|0\rangle$  is not an eigenstate of  $S_x$ , so it's not trivial.

Use raising and lowering operators:  $S_{\pm} = S_x \pm iS_y$

$$\Rightarrow S_x = \frac{1}{2}(S_+ + S_-), S_y = \frac{1}{2i}(S_+ - S_-)$$

$$\Rightarrow S_{x11} = \langle 0 | \frac{1}{2}(S_+ + S_-) | 0 \rangle \Rightarrow S_+ | 0 \rangle = 0, \text{ since } |0\rangle \text{ is the highest } S_z \text{ state.}$$

But what is  $S_- | 0 \rangle$ ? Since  $S_-$  is the lowering operator, we know that  $S_- | 0 \rangle \propto | 1 \rangle$ . That is  $S_- | 0 \rangle = A_- | 1 \rangle$  for some complex number  $A_-$  which we have yet to determine. Similarly,  $S_+ | 1 \rangle = A_+ | 0 \rangle$ .

Question: What is  $A_-$ ?

Answer:

$$A_+ = \hbar \sqrt{s(s+1) - m(m+1)} \rightarrow S_+ | s, m \rangle = A_+ | s, m+1 \rangle$$

$$A_- = \hbar \sqrt{s(s+1) - m(m-1)} \rightarrow S_- | s, m \rangle = A_- | s, m-1 \rangle$$

Proof: First note that  $|A_-|^2 = \langle s, m | S_+ S_- | s, m \rangle$ .

Since  $S_{\pm} = S_x \pm iS_y$ , where  $S_x, S_y$  are Hermitian,  $S_+^\dagger = S_-$ . Hence  $A_+(s, m) = A_-(s, m+1)^*$ . We are free to choose a phase for the eigenstates; set it so  $A_+ \geq 0$  (real and nonnegative). Now

$$\begin{aligned}\langle s, m | S_- S_+ | s, m \rangle &= (S_-^\dagger | s, m \rangle)^\dagger S_+ | s, m \rangle = (S_+ | s, m \rangle)^\dagger S_+ | s, m \rangle \\ &= A_+^2 \langle s, m | s, m \rangle = A_+^2 .\end{aligned}$$

Also,

$$\begin{aligned}S_- S_+ &= (S_x - iS_y)(S_x + iS_y) = S_x^2 + S_y^2 + i[S_x, S_y] \\ &= S^2 - S_z^2 - \hbar S_z .\end{aligned}$$

Therefore,

$$\begin{aligned}\langle s, m | S_- S_+ | s, m \rangle &= \langle s, m | (S^2 - S_z^2 - \hbar S_z) | s, m \rangle \\ &= \hbar^2 s(s+1) - (\hbar m)^2 - \hbar(\hbar m) \\ &= \hbar^2 (s(s+1) - m(m+1)) ,\end{aligned}$$

using  $S^2 | s, m \rangle = \hbar^2 s(s+1) | s, m \rangle$  and  $S_z | s, m \rangle = \hbar m | s, m \rangle$ . Thus

$$\begin{aligned}A_+(s, m) &= \hbar \sqrt{s(s+1) - m(m+1)} \\ A_-(s, m) &= A_+(s, m-1) = \hbar \sqrt{s(s+1) - m(m-1)} .\end{aligned}$$

Now we use these values  $A_{\pm}$  to find the desired coefficients:

$$\begin{aligned}S_+ | 0 \rangle &= 0 \\ S_+ | 1 \rangle &= \hbar \sqrt{\frac{1}{2}(\frac{1}{2}+1) - (-\frac{1}{2})(-\frac{1}{2}+1)} | 0 \rangle = \hbar | 0 \rangle \\ S_- | 0 \rangle &= \hbar \sqrt{\frac{1}{2}(\frac{1}{2}+1) - (\frac{1}{2})(\frac{1}{2}-1)} | 1 \rangle = \hbar | 1 \rangle \\ S_- | 1 \rangle &= 0\end{aligned}$$

$$\Rightarrow S_{x11} = \frac{1}{2} \langle 0 | (S_+ + S_-) | 0 \rangle = \frac{1}{2} \langle 0 | [S_+ | 0 \rangle + S_- | 0 \rangle]$$

$$\begin{aligned}S_{x11} &= \frac{1}{2} \langle 0 | [0 + \hbar | 1 \rangle] = 0 \\ S_{x12} &= \langle 0 | \frac{1}{2} (S_+ + S_-) | 1 \rangle = \frac{1}{2} \langle 0 | [\hbar | 0 \rangle + 0] = \frac{\hbar}{2} \\ S_{x21} &= \langle 1 | \frac{1}{2} (S_+ + S_-) | 0 \rangle = \frac{1}{2} \langle 1 | [0 + \hbar | 1 \rangle] = \frac{\hbar}{2} \\ S_{x22} &= \langle 1 | \frac{1}{2} (S_+ + S_-) | 1 \rangle = \frac{1}{2} \langle 1 | [\hbar | 0 \rangle + 0] = 0\end{aligned}$$

$$\text{So } S_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Find  $S_y$  matrix: Use  $S_y = \frac{1}{2i}(S_+ - S_-)$ . The proceed similarly to above for  $S_x$ . Check it out yourself to see that you understand the matrix and bra-ket mechanics.

Answer:  $S_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$

In summary, we define

$$\sigma_0 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

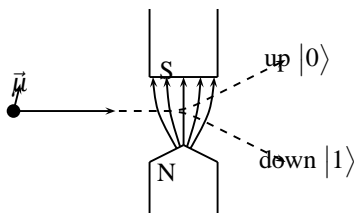
Then,  $S^2 = \frac{3}{4}\hbar^2 \sigma_0$ ,  $S_x = \frac{\hbar}{2}\sigma_1$ ,  $S_y = \frac{\hbar}{2}\sigma_2$ ,  $S_z = \frac{\hbar}{2}\sigma_3$

$\sigma_0, \sigma_1, \sigma_2, \sigma_3$  are called the Pauli Spin Matrices. They are very important for understanding the behavior of two-level systems. Note that we have already encountered these in our discussion of qubits, where they correspond to the gates  $X = \sigma_1$ ,  $Y = \sigma_2$ ,  $Z = \sigma_3$ ,  $I = \sigma_0$ . In the next couple of lectures we shall frequently interconvert, using

$$\begin{aligned} S_x &= \frac{\hbar}{2}X, \\ S_y &= \frac{\hbar}{2}Y, \\ S_z &= \frac{\hbar}{2}Z. \end{aligned}$$

### 3 Measuring Spin

We can measure the spin state  $m$  with a Stern-Gerlach device. This is simply a magnet set up to generate a particular inhomogeneous  $\vec{B}$  field. In the figure below, the field is strong near the N pole (below) and weaker near the S pole (above). If the  $z$ -axis points upwards, then we have a negative field gradient in the  $z$  direction, i.e.,  $\partial B/\partial z < 0$ .



When a particle with spin state  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$  is shot through the apparatus from the left, its spin-up portion is deflected upward, and its spin-down portion downward. The particle's spin becomes entangled with its position! Placing detectors to intercept the outgoing paths therefore measures the particle's spin.

Why does this work? We'll give a semiclassical explanation – mixing the classical  $\vec{F} = m\vec{a}$  and the quantum  $H\psi = E\psi$  – which is not really correct, but gives the correct intuition. [See Griffith's § 4.4.2, pp. 162-164 for a more complete argument.] Now the potential energy due to the spin interacting with the field is

$$E = -\vec{\mu} \cdot \vec{B} ,$$

so the associated force is

$$\vec{F}_{\text{spin}} = -\vec{\nabla}E = \vec{\nabla}(\vec{\mu} \cdot \vec{B}) .$$

At the center  $\vec{B} = B(z)\hat{z}$ , with  $\frac{\partial B}{\partial z} < 0$ , so  $\vec{F} = \vec{\nabla}(\mu_z B(z)) = \mu_z \frac{\partial B}{\partial z} \hat{z}$ . The magnetic moment  $\vec{\mu}$  is related to spin  $\vec{S}$  by  $\vec{\mu} = \frac{gq}{2m}\vec{S} = -\frac{e}{m}\vec{S}$  for an electron. Hence

$$\vec{F} = \frac{e}{m} \left| \frac{\partial B}{\partial z} \right| S_z \hat{z} ;$$

if the electron is spin up ( $S_z = +1/2$ ), the force is upward, and if the electron is spin down ( $S_z = -1/2$ ), the force is downward.

## 4 Initialize a Spin Qubit

- How can we create a beam of qubits in the state  $|\psi\rangle = |0\rangle$ ? Pass a beam of spin- $\frac{1}{2}$  particles with randomly oriented spins through a Stern-Gerlach apparatus oriented along the  $z$  axis. Block the downward-pointing beam, leaving the other beam of  $|0\rangle$  qubits.

Note that we *measure* the spin when we intercept an outgoing beam – measurement process is probabilistic and not unitary.

- How can we create a beam of qubits in the state  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$ ? First find the point on the Bloch sphere corresponding to  $|\psi\rangle$ . That is, write

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle = \cos \frac{\theta}{2} |0\rangle + e^{i\varphi} \sin \frac{\theta}{2} |1\rangle$$

(up to a phase), where

$$\tan \frac{\theta}{2} = \left| \frac{\beta}{\alpha} \right| \quad e^{i\varphi} = \frac{\beta/|\beta|}{\alpha/|\alpha|} .$$

The polar coordinates  $\theta, \varphi$  determine a unit vector  $\hat{n} = \cos \varphi \sin \theta \hat{x} + \sin \varphi \sin \theta \hat{y} + \cos \theta \hat{z}$ . Now just point the Stern-Gerlach device in the corresponding direction on the Bloch sphere, and intercept one of the two outgoing beams. That is, a Stern-Gerlach device pointed in direction  $\hat{n}$  measures  $S_{\hat{n}} = \hat{n} \cdot \hat{S}$ .

Some details for this. We need to find the eigenstates of  $S_n = \vec{S} \cdot \hat{n}$ . First express this in cartesian coordinates, using  $\hat{n} = \sin \theta \cos \varphi \hat{x} + \sin \theta \sin \varphi \hat{y} + \cos \theta \hat{z}$ , and the relations  $S_\alpha = \hbar/2\alpha, \alpha = X, Y, Z$ . We thereby arrive at the 2x2 matrix representation of  $S_n$  in the  $z$ -basis:

$$S_n = \frac{\hbar}{2} \begin{pmatrix} \cos \theta & \sin \theta e^{-i\varphi} \\ \sin \theta e^{i\varphi} & \cos \theta \end{pmatrix},$$

Now diagonalize this to obtain eigenvalues  $\pm \hbar/2$  (why are you not surprised?) and eigenstates

$$\begin{aligned} |0\rangle_n &= \cos \frac{\theta}{2} |0\rangle + e^{i\varphi} \sin \frac{\theta}{2} |1\rangle \quad (s_n = +\frac{\hbar}{2}) \\ |1\rangle_n &= -e^{-i\varphi} \sin \frac{\theta}{2} |0\rangle + \cos \frac{\theta}{2} |1\rangle \quad (s_n = -\frac{\hbar}{2}). \end{aligned}$$

The first eigenstate is the desired general state on the Bloch sphere, so we just need to intercept the positive spin eigenstate, i.e., upward deflected beam in the S-G frame.

- Can we use an S-G apparatus to implement a unitary (deterministic) transformation? No, since the S-G apparatus makes a measurement and is not unitary. In other words, it collapses the wave function to one component only. It is fine for initializing an arbitrary state, but to make a unitary transformation we have to evolve the wave function according to a Hamiltonian  $\hat{H}$ :

$$|\psi(t)\rangle = e^{-\frac{i}{\hbar} \hat{H} t} |\psi(0)\rangle$$

This rotates the spin qubit wave function on the Bloch sphere. In the next lecture we will see how to accomplish an arbitrary single-qubit unitary gate (a arbitrary rotation on the Bloch sphere) by two different approaches, Larmor precession and spin resonance.